

Public Finance Committee

Wed 26 May 2021, 14:00 - 16:00

via Teams



Agenda

14:00 - 14:00

0 min

1. Preliminaries

Rhian Thomas

1.1. Welcome & Introductions

Rhian Thomas

1.2. Apologies for Absence

Rhian Thomas

1.3. Declarations of Interest

Rhian Thomas

1.4. Minutes of the Committee Meeting held on 28th April 2021

Rhian Thomas

 1.4 UNCONFIRMED MINUTES OF THE FINANCE COMMITTEE APRIL 28 2021.pdf (8 pages)

1.5. Action Log

Rhian Thomas

 1.5. Action Log For 26 May 2021 Finance Committee Meeting.pdf (1 pages)

1.6. Chairs Action taken since last meeting

Rhian Thomas

14:00 - 14:00

0 min

2. Items for Review and Assurance

Rhian Thomas

2.1. Financial Performance Month 1

Christopher Lewis

 2.1 Finance Position Report for Month 1.pdf (20 pages)


2.2. Update on the 2021/22 Draft Financial Plan - Verbal

Andrew Gough

2.3. Finance Risk Register 2021/22

Andrew Gough

 2.3a Finance Risk Register 2021-22 May 2021.pdf (2 pages)

 2.3b Finance Risk Register 2021-22 May 2021.pdf (6 pages)

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14:00 - 14:00
0 min

3. Items for Noting and Information

Rhian Thomas

3.1. Month 1 Financial Monitoring Returns

Rhian Thomas

-  3.1a CV Financial Monitoring Returns 2021-22 - Month 1 (1).pdf (9 pages)
-  3.1b 2021_22 MMR Template Cardiff & Vale UHB.pdf (11 pages)

14:00 - 14:00
0 min

4. Items to bring to the attention of the Board

Rhian Thomas

14:00 - 14:00
0 min

5. Date and time of next Meeting

Wednesday 30th June 2021 at 2.00pm, Virtual Meeting via Teams

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**UNCONFIRMED MINUTES OF THE MEETING OF THE FINANCE COMMITTEE
HELD ON 28th APRIL 2021
VIRTUAL MEETING via TEAMS**

Present:

Dr Rhian Thomas	RT	Chair, Independent Member – Capital and Estates
Charles Janczewski	CJ	Board Chair
John Union	JU	Independent Member - Finance
Ceri Phillips	CP	Board Vice Chair
Abigail Harris	AH	Executive Director of Strategic Planning
Andrew Gough	AG	Assistant Director of Finance
Catherine Phillips	CP	Executive Director of Finance
Chris Lewis	CL	Deputy Director of Finance
Nicola Foreman	NF	Director of Corporate Governance
Rachel Gidman	RG	Executive Director of People and Culture
Steve Curry	SC	Chief Operating Officer

In Attendance:

Secretariat:

Paul Emmerson	PE	Finance Manager
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Apologies:

Len Richards	LR	Chief Executive
Ruth Walker	RW	Executive Nurse Director

FC 21/04/001	WELCOME AND INTRODUCTIONS The Chair welcomed everyone to the meeting.	ACTION
FC 21/04/002	APOLOGIES FOR ABSENCE Apologies for absence were noted.	
FC 21/04/003	DECLARATIONS OF INTEREST The Chair invited members to declare any interests in proceedings on the Agenda. None were declared.	

FC 21/03/004	MINUTES OF THE COMMITTEE MEETING HELD ON 24th MARCH 2021 The minutes of the meeting held on 24 th March 2021 were reviewed and confirmed to be an accurate record. Resolved – that: The minutes of the meeting held on 24 th March 2021 were approved by the Committee as an accurate record.	
FC 21/03/005	ACTION LOG FOLLOWING THE LAST MEETING There were no outstanding actions. Resolved – that: The Finance Committee noted that there were no outstanding actions.	
FC 21/03/006	CHAIRS ACTION SINCE THE LAST MEETING There had been no Chairs action taken since the last meeting.	
FC 21/03/007	FINANCIAL PERFORMANCE MONTH 12 The Deputy Director of Finance summarised the key points within the Month 12 Finance Report. The UHB's provisional year end revenue outturn was a surplus of £0.090m which was broadly in line with the break-even position previously forecast. The UHB is also reporting that it stayed within its Capital Resource limit and achieved its creditor payment compliance target. The Finance Committee was asked to note that these are all provisional at this stage as the accounts would be subject to external audit scrutiny, though the reported year end position was not expected to materially change. Referring to table 2 of the written report, it was highlighted that despite achieving a surplus in 2020/21 and 2019/20 that the UHB had still breached its statutory break even duty by £9.724m over the three year period from 2018/19 to 2020/21 as a consequence of the deficit recorded in 2018/19. The UHB Chair (CJ) reflected that the relatively small operating surpluses reported by the UHB in both 2019/20 and 2020/21 represented a satisfactory financial outcome and acknowledged the support of the Finance Team in delivering this position. Looking forwards, the UHB Chair (CJ) observed that the financial result that the UHB could expect to achieve in 2021/22 was compromised by the £21.3m increase to the UHBs underlying deficit which had arisen in 2020/21 as a result of the shortfall in the delivery of recurrent savings schemes during the pandemic. Referring to this, the UHB Chair (CJ) noted that the financial position that the UHB could reasonably deliver in 2021/22 would in part be determined by the level of funding that Welsh Government provided to cover the increase in the underlying deficit.	

Six of the eight measures on the Finance Dashboard were RAG rated green. Two measures remained RAG rated red namely: the reduction in the underlying deficit to £4m and the delivery of the recurrent £25m 3% devolved savings target. Progress against the 2 measures had been impeded by the COVID pandemic in 2020/21

Moving onto financial performance in month 12 it was noted that the operational position had moved from a year to date surplus of £0.502m in month 11 to a surplus of £0.090m at year end. This was broadly in line with the forecast.

The UHB had received additional revenue funding of £176.120m in 2020/21 to manage the impact of COVID. Referring to the line by line analysis of Welsh Government COVID Funding received 2020/21, the Executive Director of Strategic Planning asked how the £4.141m of funding labelled Support for Adult Care Providers was applied and in response the Deputy Director of Finance indicated that the funding was passed onto care providers to cover the additional costs and the financial impact of voids during the pandemic. In this context, the Executive Director of Strategic Planning indicated that the UHB would need to consider the impact that the pandemic may have had on the level of care provider capacity moving into 2021/22.

The UHB Chair (CJ) noted the costs at the Dragons Heart Hospital (DHH) were highlighted in the reported position but queried why the costs of the Lakeside Wing were not shown in the same way. The Deputy Director of Finance stated that this was because the majority of the costs incurred in the construction of the DHH were revenue costs as they could not be capitalised because of the interim nature of the Field Hospital and were therefore reported in the revenue position. The Committee was informed that the costs incurred in the construction of the Lakeside Wing Surge Hospital which would provide additional capacity in the longer term were capitalised and supported by an additional capital allocation provided by Welsh Government. These were therefore not included in the revenue position but shown in the Appendix on capital expenditure.

The year end outturn analysed by income, pay and non pay was largely in line with forecast and it was noted that additional pay spend was reported in month 12 due to the inclusion of COVID related liabilities for the additional annual leave accrual; the additional study leave accrual for training grade doctors and the NHS bonus payment.

Picking up on the additional annual leave accrual the Independent Member – Finance (JU) asked if the accrual was in line with the forecast. In response, the Deputy Director of Finance indicated that the accrual was less than the original forecast, however the shortfall had provided cover for the training grade study leave accrual which was required in respect the UHBs liability for untaken study leave. The Finance Committee Chair (RT) asked how the additional accruals would be managed in 2021/22 and the Deputy Director indicated that the accruals were expected to be released in 2021/22 as the uptake of annual leave and study leave increased as the pandemic eased.

Turning to Clinical Board performance it was highlighted that the in month operational underspend in the PCIC Clinical Board was expected and that the

	<p>in month overspend in the Surgery Clinical Board reflected the shift in year end stock levels.</p> <p>The UHB had maintained a positive cash balance throughout 2020/21. The public sector payment compliance performance was 96.2% at the end of March and therefore the UHB achieved its statutory target in 2020/21.</p> <p>The Finance Committee Chair (RT) asked whether the increase in trade and other debtors since the start of the year which related to amounts due from the Welsh Risk Pool (WRPs) was a risk to the UHB and the Deputy Director of Finance confirmed that the debtor was the WRPs contribution to cover liabilities and that this was not a significant risk to the UHB's balance sheet.</p> <p>In conclusion, the Deputy Director of Finance reiterated that the reported position was a very satisfactory conclusion to the financial year, with the UHB having remained within its revenue and capital resource limits in year and met its creditor payment compliance target.</p> <p>Resolved – that:</p> <p>The Finance Committee noted the provisional draft year end revenue surplus of £0.090m against the planned breakeven position;</p> <p>The Finance Committee noted that the year end capital underspend of £0.104m against a CRL of £95.447m;</p> <p>The Finance Committee noted that the UHB achieved its creditor payment compliance target of 95%.</p> <p>The Finance Committee noted the month 12 financial impact of COVID 19 which assessed at £176.120m;</p> <p>The Finance Committee noted the additional Welsh Government COVID 19 confirmed funding of £176.120m included within the month 12 position:</p> <p>The Finance Committee noted the revised forecast 2020/21 carry forward Underlying Deficit of £25.3m.</p>	
<p>FC 21/03/008</p> <p>Bricknell Helen 05/19/2021 16:07:05</p>	<p>DEEP DIVE – RESOURCE ALLOCATIONS AND FUNDING</p> <p>The Deputy Director of Finance introduced a presentation on Resource Allocations and Funding and highlighted that the presentation would cover the following areas:</p> <ul style="list-style-type: none"> • How the Welsh Government is funded • Funding from Welsh Government (including the new allocation formula & the UHBs assessed share of NHS Funding) • Cross Border flows and LTAs • How the UHB spends its resources 	

The Deputy Director of Finance moved through the presentation and highlighted:

Welsh Government Funding

- the majority of Welsh Government is determined by the Chancellor of the Exchequer, and is approved by the UK Parliament in Westminster.
- More than 80% of Welsh Government funding is provided via Parliament with the rest coming from taxes collected in Wales. Welsh Government has the power to apply different rates of income tax in Wales compared to other parts of the UK. To date the rates applied have been consistent with England and Northern Island.
- The share for Wales of the extra funding given to each Whitehall Department in the Spending Review is determined by the Barnett formula. The current Barnett share is circa 5.8% of the additional funding announced for each Whitehall Department where powers have been devolved to Wales.
- The shares are referred to as consequentials however Welsh Government can determine how the consequentials are allocated over each Welsh Government Expenditure group.
- The Welsh Government undertakes an Annual Budget Round and normally publishes a draft budget in October which details its spending plans for the next three years for Main Expenditure Groups (MEGs) e.g. Health and Social Services; Housing and Local Govt.; Economy and Transport; Education; Mental Health, Wellbeing and Welsh Language; Environment, Energy and Rural Affairs; and Central Services and Administration.

NHS Funding

- Welsh Government normally issues annual NHS revenue allocations in December for the following financial year
- Revenue allocations are based on previous years funding uplifted for growth, and new development funding. A new allocation formula was introduced in 2020/21 and this is used to allocate annual growth funding.
- Funding is allocated to the 7 Health Boards to fund healthcare services for their resident population based on previous years funding uplifted for growth, and new development funding. The funding flows from each Health Board to: neighbouring Health Boards; Independent Contractors (GPs, Opticians, Dentists, Pharmacists); WAST & Velindre Trusts; WHSCC; Local Government; and Voluntary/Independent sector.
- The UHB's Initial Resource Limit for 2021-22 is £981.786m.
- Further allocations are made during year – some are non recurrent and some are recurrent. In part due to the Covid pandemic, 2020/21 was exceptional with a starting allocation of circa £0.950bn and a closing allocation of circa £1.2bn.
- Some health funding is held in Welsh Government central budgets and issued direct to Trusts, LHBs or other bodies

New Allocation Formula; Principles & Aims

- Intended to be transparent, simple to maintain and based on readily available robust population needs and financial data.
- The formula results are expected to be mapped and robust at the following levels: 7 Local Health Boards; 22 Public Services Boards; 64 Locality Networks / Primary Care Clusters. This will enable Health Boards to match resources to needs within their boundaries.
- The formula used in Wales has been influenced by the Scottish approach which has 4 main measures of health need being: population; age sex adjustment; morbidity and Life circumstances adjustment (MLC); Unavoidable Excess Costs of Supply adjustment - remoteness and rurality
- The Welsh Formula has 4 components as follows: Acute (72.2%); Maternity (3.40%); Community (13.40%) and Prescribing (11.0%)
- New funding is allocated to LHBs based on: Population share; age sex cost index; additional Needs index for each component. In addition the Community component is weighted for an additional cost index.
- Graphical data was presented that indicated that the annual cost of Acute Care was far greater in the early (years 1-4) and later (years 60 onwards) part of life.
- Data collected to inform the latest allocation applied the following weights to Cardiff and Vale in comparison to the average for Wales:

	Acute	Maternity	Community	Prescribing	Overall
Vale of Glamorgan	0.946	0.917	0.872	0.892	0.929
Cardiff	0.792	0.906	0.829	0.807	0.802
Cardiff & Vale of Glam.	0.833	0.909	0.841	0.830	0.836
All Wales	1.000	1.000	1.000	1.000	1.000

- The formula on average allocated 92.9% and 80.2% of the overall national funding per head of population to a typical resident in the Vale of Glamorgan and Cardiff respectively.
- The application of the formula meant that the UHB received 13.3% of the £105m core cost and demand uplift for Wales in 2021-22 as against a crude (unweighted) population share of 15.9% or the current "Townsend" share of 14.4%. The latest assessment indicated that the UHB currently received 13.53% of total funding allocated across Wales.

Allocations – Resource Limits

- The UHB's funding from Welsh Government is split between the Revenue Resource Limit – funding for day-to-day services (e.g. salaries, consumables and services), and the Capital Resource Limit – funding for significant capital investment – tangibles assets costing £5,000 or more with an expected life in excess of 1 year (e.g. buildings, equipment or groups functionally inter-dependant assets (e.g. all networked IT equipment >£250)).
- Capital and Revenue are treated as two different funding streams and are accounted for separately. They have separate statutory targets and resource limits can only be swapped upon agreement by Welsh Government

LTA Financial Frameworks

- LHB/ Trust LTAS are based on historic costs, inflated year on year however some are being remodelled. LTAs are supplemented for clinical developments (e.g. Robot, blood products) and delivery is measured at a specialty and patient category level
- The WHSSC LTA was established through Resource Mapping in 2010 and the UHB's provider contract was rebased in 2015-16 to better align the income to service costs individual services
- LTAs are a mixture of; Block Contracts – where there no adjustment for variation; Traditional 'Cost & Volume' Contracts – variation adjusted for at marginal rates; Cost per Case Contracts – full cost price variation; and 'Pass Through' Contracts – actual expenditure e.g. NICE and High Cost Drugs

The presentation concluded by noting that the UHBs budget in 2020/21 was circa £1.7bn and whilst the majority of the income is provided through allocations from Welsh Government, a significant level of income (circa 1 quarter) was recovered from the Welsh Health Specialist Services Committee (WHSSC), Other Local Health Boards and Commissioners of Training and Education . Against a comparison of the UHB's expenditure it was evident that in financial terms the UHB collected more income for services provided to external commissioners that it spent on services provided to Cardiff & Vale residents by external providers.

Comments and queries were received as follows:

The Executive Director of Strategic Planning asked whether Welsh Government had discretion over the way that consequential funding was allocated between Expenditure Groups and the Deputy Director of Finance confirmed that Welsh Government had discretion to prioritise between different MEG budgets e.g. Housing and Local Government could be prioritised before Health and Social Services and vice versa.

The UHB Vice Chair (CP) noted that the UHB delivered a significant proportion of the tertiary services provided to all Welsh residents and asked what assurance could be given that the cost of providing tertiary services to patients residing outside of Cardiff and Vale was recovered through LTA agreements from external commissioners. In reply the Deputy Director of Finance indicated that Welsh Health Specialist Services (WHSSC) were responsible for commissioning specialist and tertiary services on behalf of Welsh residents and that the UHBs provider contract with WHSCC was rebased in 2015-16 to better align the income to service costs for individual services. The Deputy Director of Finance added that the UHB was presently working with Aneurin Bevan to rebase the LTA agreed by both organisations and intended to review the LTA with Cwm Taf once the Covid pandemic passed.

Referring to the measurement of population for the new revenue allocation formula the UHB Vice Chair (CP) observed that the population count for each Health Board was based on residency and noted that the absence of a large part of Cardiff's significant student population as a result of the lockdown in place during the COVID pandemic at the time of the 2021 Census may impact on the population data informing the formula. The Committee agreed that this

	<p>was a fair concern and that the UHB would need to assure itself that future population projections were adjusted for issues at the time of the 2021 census.</p> <p>The Finance Committee Chair (RT) queried whether GP registrations could provide a better basis for a measuring populations. In response, the UHB Vice Chair (CP) indicated that there could be a reluctance to use GP lists as these could be unrepresentative unless patient registrations were regularly reviewed for patients who had re-registered with other GPs. This was a particular concern in areas where there were large numbers of students who may have moved on following the completion of studies without de-registering from a GP practice.</p> <p>Resolved - that:</p> <p>The Finance Committee noted the presentation.</p>	
FC 21/03/009	<p>MONTH 12 FINANCIAL MONITORING RETURNS</p> <p>These were noted for information.</p>	
FC 21/03/010	<p>ITEMS TO BRING TO THE ATTENTION OF THE BOARD</p> <p>There were no items to bring to the attention of the Board.</p>	
FC 21/03/011	<p>DATE OF THE NEXT MEETING OF THE COMMITTEE</p> <p>Wednesday 26th May 2.00pm; Virtual Meeting via Teams</p>	

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FINANCE COMMITTEE – PUBLIC MEETING

ACTION LOG

MINUTE	DATE	SUBJECT	AGREED ACTION	ACTIONED TO	STATUS
			No Outstanding Actions		

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Report Title:	Finance Report for the Period Ended 30th April 2021						
Meeting:	Finance Committee				Meeting Date:	26th May 2021	
Status:	For Discussion	x	For Assurance	x	For Approval	For Information	x
Lead Executive:	Executive Director of Finance						
Report Author (Title):	Deputy Director of Finance						

Background and current situation:

Welsh Government outlined the annual planning arrangements for the UHB in a letter dated March 11th 2021. The letter acknowledged that there were still uncertainties around the pattern of the COVID 19 virus and the impact on activity, workforce, recovery and the associated financial considerations. In addition there was a recognition that the final Welsh Government Budget was likely to influence 2021/22 plans, however it was unlikely that the final budget would be agreed before the submission of initial plans. In this context the UHB submitted a draft plan at the end of March 2021 which focused on delivering in-year financial stability and maintaining the current level of underlying deficit. The draft plan included a planned deficit of £21.3m in 2021/22 and if delivered will ensure that the underlying position does not deteriorate.

The draft plan is subject to further review during quarter 1 of 2021/22 in the light of additional planning guidance and the UHB expects to submit a final financial plan for 2021/22 at the end of quarter 1. A summary of the core draft plan submitted is provided in Table 1.

Table 1: 2021/22 Core Draft plan

	2021/22 Plan £m	2022/23 Plan £m
Prior Year Plan	(4.0)	(21.3)
Adjustment for non recurrent items in previous year (note 1)	(21.3)	(4.0)
b/f underlying deficit	(25.3)	(25.3)
Net Allocation Uplift (including LTA inflation) (note 2)	19.4	
Draft Cost Pressures Assessment (note 3)	(27.4)	
Investments	(4.0)	
Recurrent Cost Improvement Plans 1.5% (note 4)	12.0	
Non Recurrent Cost Improvement Plans 0.5% (note 5)	4.0	
Planned Surplus/(Deficit) 2021/22	(21.3)	

** Draft plan is currently showing a £21.3m deficit relating to the non delivery of recurrent savings in 2020/21 due to COVID. The UHB will continue to work closely with Welsh Government as to how this issue is presented pending plan completion based on final allocation.*

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**GIG
CYMRU
NHS
WALES**

Bwrdd Iechyd Prifysgol
Caerdydd a'r Fro
Cardiff and Vale
University Health Board

In addition to the core financial plan of the Health Board identified by Table 1, the UHB will also incur additional COVID 19 costs in respect of response and recovery. These costs are considered to be in addition to the core financial plan and at this stage the UHB is anticipating additional funding to cover these costs. **The UHB has a risk in its current and forecast level of COVID response costs where the current estimated costs for the first 6 months are £4.056m higher than confirmed allocations.**

At month 1, the UHB is reporting an overspend of £1.929m against its submitted draft plan due to £0.154m of operational pressures and a planning deficit of £1.775m, which is a twelfth of the planned deficit of £21.3m identified in the draft 2021/22 financial plans. The UHB is reporting that it incurred net Covid response and recovery costs of £8.145m in month 1 and it is assumed that these costs will be covered by additional COVID 19 allocations.

Executive Director Opinion /Key Issues to bring to the attention of the Board/ Committee:

The draft Financial Plan sets out the UHB financial strategy in three parts:

1. Core Financial Plan: Delivering in-year financial stability and maintain the current level of underlying deficit
2. Continuation of non-recurrent response to COVID within available funding
3. COVID recovery and reset (service) within available funding

Delivery of the core financial plan includes a 2% (£16.0m) savings requirement. At month 1 £8.486m Green and Amber savings have been identified against the target. Further progress will need to be made before the final plan is submitted at the end of quarter 1.

The UHB also has a risk in its current and forecast level of COVID response costs which are in excess of funding received. The estimated costs for the first 6 months are £4.056m higher than confirmed allocations.

Assessment and Risk Implications

The Finance Dashboard outlined in Table 2 reports actual financial performance against key financial performance measures.

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Table 2: Finance Dashboard @ April 2021

Measure	n	STATUS REPORT				
		April 2021	Rating	Latest Trend	Target	Time Period
Deliver 2021/22 Draft Financial Plan	36	Draft £1.929m Deficit at month 1. £1.775m planned deficit and £0.154m operational deficit	R	9	Deliver 2021/22 £21.3m Planned Deficit	M1 2021-22
Remain within capital resource limits.	37	not available at month 1	A	9	Remain within Approved planned expenditure	M1 2021-22
Maintenance (no deterioration) of Underlying deficit	36a	£25.3m assessed underlying deficit (ULD) position b/f to month 1. Forecast Year End ULD £25.3m	R	9	2021/22 plan to ensure no deterioration in £25.3m underlying deficit	M1 2021-22
Delivery of recurrent £12.000m 1.5% target	36b	£4.334m forecast at month 1.	R	9	£12.000m	M1 2021-22
Delivery of £4m non recurrent target	36c	£4.152m at month 1.	G	9	£4.000m	M1 2021-22
Creditor payments compliance 30 day Non NHS	37a	Cumulative 93.0% at the end of April	R	9	95% of invoices paid within 30 days	M1 2021-22
Remain within Cash Limit	37b	not available at month 1	A	9	To remain within Cash Limit	M1 2021-22
Maintain Positive Cash Balance	37c	Cash balance = £4.784m	G	9	To Maintain Positive Cash Balance	End of April 2021

Month 1 Cumulative Financial Position

The Welsh Government monthly financial monitoring returns continue to capture and monitor net costs due to COVID 19 that are over and above LHB plans. The provisional financial position reported to Welsh Government for month 1 is a deficit of £1.929m and this is summarised in Table 3.

Table 3: Month 1 Financial Position 2021/22

	Cumulative Month 1 £m
COVID 19 Additional Expenditure	8.806
COVID 19 Reductions in Planned Expenditure	(0.661)
Net Expenditure Due To COVID 19	8.145
Welsh Government COVID funding received / assumed	(8.145)
Net COVID 19 Forecast Position (Surplus) / Deficit £m	0.000
Planned Deficit per Draft Plan	1.775
Operational position (Surplus) / Deficit	0.154
Financial Position £m (Surplus) / Deficit £m	1.929

This shows that the in month net expenditure of £8.145m due to COVID 19 was matched by an equal amount of additional Welsh Government funding to cover the costs arising from the impact of COVID 19.

The additional COVID 19 expenditure in month 1 was £8.806m and this has been offset by expenditure reduction £0.661m as a consequence of the curtailment of services arising from measures to manage COVID leaving net expenditure due to COVID 19 of £8.145m.

This is matched by the additional Welsh Government funding outlined in the table 4 below:

Table 4: Welsh Government COVID 19 Funding assumed at month 1 2021/22

Welsh Government COVID Funding	Month 1 £m
COVID 19 Testing assumed	(0.243)
COVID 19 Tracing assumed	(1.016)
COVID 19 Vaccination assumed	(1.580)
Cleaning Standards assumed	(0.155)
PPE assumed	(0.379)
Continuing Care and Funded Nursing Care assumed	(0.249)
Urgent and Emergency Care assumed	(0.157)
COVID 19 Response - confirmed	(4.230)
COVID 19 Recovery - Confirmed	(0.136)
Total funding confirmed / assumed £m	(8.145)

The UHB has a risk in its current and forecast level of COVID response costs which are in excess of funding received. The estimated costs for the first 6 months are £4.056m higher than confirmed allocations.

The UHB has a small operational overspend of £0.154m at Month 1. This is over and above 1/12th of the planned £21.3m deficit (£1.775m) giving a month 1 reported overspend of £1.929m.

Table 5 analyses the reported position between income, pay and non pay.

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Table 5: Summary Financial Position for the period ended 30th April 2021

Income/Pay/Non Pay	Budget	Actual	Net Expenditure Due To COVID 19	Welsh Government COVID 19 Funding Received	Operational Variance (Fav)/Adv	Total Variance
	£m	£m	£m	£m	£m	£m
In Month						
Income	(132.308)	(131.714)	0.554	0.000	0.039	0.593
Income - Welsh Govt. COVID 19 Funding Received	0.000	(8.145)	0.000	(8.145)	0.000	(8.145)
Pay	57.038	60.612	4.133	0.000	(0.559)	3.574
Non Pay	75.270	79.401	3.458	0.000	0.674	4.132
Variance to Plan £m	(0.000)	0.154	8.144	(8.145)	0.154	0.154
Planned Deficit		1.775			1.775	1.775
Total Deficit/(Surplus) £m	(0.000)	1.929	8.145	(8.145)	1.929	1.929
Cumulative						
Income	(132.308)	(131.714)	0.554	0.000	0.039	0.593
Income - Welsh Govt. COVID 19 Funding Received	0.000	(8.145)	0.000	(8.145)	0.000	(8.145)
Pay	57.038	60.612	4.133	0.000	(0.559)	3.574
Non Pay	75.270	79.401	3.458	0.000	0.674	4.132
Variance to Plan £m	(0.000)	0.154	8.145	(8.145)	0.154	0.154
Planned Deficit		1.775			1.775	1.775
Total Deficit/(Surplus) £m	(0.000)	1.929	8.145	(8.145)	1.929	1.929

Income

The year to date and in month financial position for income is shown in Table 6:

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Table 6: Income Variance @ April 2021

Income	COVID 19 Additional Expenditure £m	COVID 19 Reductions In Planned Expenditure £m	Net Expenditure Due to COVID 19 £m	COVID 19 Additional Welsh Govt. Funding £m	Operational Variance (Fav)/Adv £m	Total Variance £m
In Month						
Revenue Resource Limit (RRL)	0.000	0.000	0.000	0.000	0.000	0.000
RRL Welsh Govt. COVID 19 Funding	0.000	0.000	0.000	(8.145)	0.000	(8.145)
Welsh Government Income (Non RRL)	0.000	0.000	0.000	0.000	0.000	0.000
Accommodation & Catering	0.071	0.000	0.071	0.000	(0.008)	0.063
Education & Training	0.000	0.000	0.000	0.000	0.012	0.012
Injury Cost Recovery Scheme (CRU) Income	0.000	0.000	0.000	0.000	0.000	0.000
NHS Patient Related Income	0.124	0.000	0.124	0.000	(0.098)	0.026
Other Operating Income	0.313	0.000	0.313	0.000	0.094	0.407
Overseas Patient Income	0.000	0.000	0.000	0.000	(0.011)	(0.011)
Private Patient Income	0.047	0.000	0.047	0.000	0.030	0.077
Research & Development	0.000	0.000	0.000	0.000	0.019	0.019
Variance to Plan £m	0.554	0.000	0.554	(8.145)	0.039	(7.552)
Cumulative						
Revenue Resource Limit (RRL)	0.000	0.000	0.000	0.000	0.000	0.000
RRL Welsh Govt. COVID 19 Funding	0.000	0.000	0.000	(8.145)	0.000	(8.145)
Welsh Government Income (Non RRL)	0.000	0.000	0.000	0.000	0.000	0.000
Accommodation & Catering	0.071	0.000	0.071	0.000	(0.008)	0.063
Education & Training	0.000	0.000	0.000	0.000	0.012	0.012
Injury Cost Recovery Scheme (CRU) Income	0.000	0.000	0.000	0.000	0.000	0.000
NHS Patient Related Income	0.124	0.000	0.124	0.000	(0.098)	0.026
Other Operating Income	0.313	0.000	0.313	0.000	0.094	0.407
Overseas Patient Income	0.000	0.000	0.000	0.000	(0.011)	(0.011)
Private Patient Income	0.047	0.000	0.047	0.000	0.030	0.077
Research & Development	0.000	0.000	0.000	0.000	0.019	0.019
Variance to Plan £m	0.554	0.000	0.554	(8.145)	0.039	(7.552)

The month 1 income position is a surplus of £7.552m comprising of a net COVID 19 income loss of £0.554m, assumed additional Welsh Government funding of £8.145m for COVID 19 costs and an operational overspend of £0.039m.

The key COVID 19 costs related to income reductions have continued in month and cumulative income losses are as follows:

- £0.071m shortfall on accommodation and catering income as a result of a reduction in restaurant services.
- £0.124m adverse variance in NHS Patient related income following the reduction in English non-contracted income due to COVID 19.
- £0.313m deficit against Other Operating Income. The majority of the deficit is a result of reduced General Dental Services activity leading to a loss of Dental Patient Charges income. There is also a reduction in income because of reduced activity in radiopharmacy.
- £0.047m adverse variance against private patient income following the re-planning of non COVID activity.

Pay

The year to date and in month financial position for pay is shown in Table 7.

Table 7: Analysis of pay expenditure by staff group @ April 2021

Pay	COVID 19 Additional Expenditure £m	COVID 19 Reductions In Planned Expenditure £m	Net Expenditure Due to COVID 19 £m	Operational Variance (Fav)/Adv £m	Total Variance £m
In Month					
Medical and Dental	0.802	0.000	0.802	0.228	1.031
Nursing (registered)	1.431	(0.134)	1.297	(0.347)	0.950
Nursing (unregistered)	0.764	0.000	0.764	0.275	1.039
Scientific, prof & technical	0.062	0.000	0.062	(0.195)	(0.133)
Additional clinical services	0.193	0.000	0.193	(0.124)	0.069
Management, admin & clerical	0.468	0.000	0.468	(0.105)	0.363
Other staff groups	0.547	0.000	0.547	(0.291)	0.256
Total £m	4.267	(0.134)	4.133	(0.559)	3.574
Cumulative					
Medical and Dental	0.802	0.000	0.802	0.228	1.031
Nursing (registered)	1.431	(0.134)	1.297	(0.347)	0.950
Nursing (unregistered)	0.764	0.000	0.764	0.275	1.039
Scientific, prof & technical	0.062	0.000	0.062	(0.195)	(0.133)
Additional clinical services	0.193	0.000	0.193	(0.124)	0.069
Management, admin & clerical	0.468	0.000	0.468	(0.105)	0.363
Other staff groups	0.547	0.000	0.547	(0.291)	0.256
Total £m	4.267	(0.134)	4.133	(0.559)	3.574

The pay position at month 1 is a deficit of £3.574m made up of a net COVID 19 expenditure of £4.133m and an operational underspend of £0.559m.

Significant COVID 19 pay costs have been incurred for medical and nursing staff in the Medicine Clinical Board where there cumulative additional costs of £1.414m and in the PCIC Clinical Board where additional costs of £1.226m are reported across all staff groups. Additional COVID 19 pay costs have also been incurred across all other Clinical Boards. Some of these costs are netted down by nursing staff savings in the Surgical Clinical Board.

Operational pay is balanced or in surplus in all Clinical Boards except the Medicine Clinical Board where there is an operational overspend of £0.279m primarily as a result of nursing and medical costs.

Non Pay

The year to date and in month financial position for non pay is shown in Table 8.

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Table 8: Non Pay Variance @ April 2021

Non Pay	COVID 19 Additional Expenditure £m	COVID 19 Reductions In Planned Expenditure £m	Net Expenditure Due to COVID 19 £m	Operational Variance (Fav)/Adv £m	Total Variance £m
In Month					
Drugs / Prescribing	0.395	(0.025)	0.370	0.199	0.569
Clinical services & supplies	0.077	(0.234)	(0.157)	0.180	0.023
General supplies & services	0.390	0.000	0.390	0.605	0.995
Establishment expenses	0.029	0.000	0.029	(0.183)	(0.154)
Premises & fixed plant	0.494	0.000	0.494	(0.492)	0.002
Continuing healthcare	0.249	0.000	0.249	0.045	0.294
Commissioned Services	0.123	0.000	0.123	0.070	0.194
Primary Care Contractors	0.415	(0.157)	0.258	(0.010)	0.248
Other non pay	1.703	0.000	1.703	0.259	1.962
Variance to Plan £m	3.874	(0.416)	3.458	0.674	4.132
Planned Deficit				1.775	1.775
Total Deficit/(Surplus) £m	3.874	(0.416)	3.458	2.449	5.907
Cumulative					
Drugs / Prescribing	0.395	(0.025)	0.370	0.199	0.569
Clinical services & supplies	0.077	(0.234)	(0.157)	0.180	0.023
General supplies & services	0.390	0.000	0.390	0.605	0.995
Establishment expenses	0.029	0.000	0.029	(0.183)	(0.154)
Premises & fixed plant	0.494	0.000	0.494	(0.492)	0.002
Continuing healthcare	0.249	0.000	0.249	0.045	0.294
Commissioned Services	0.123	0.000	0.123	0.070	0.194
Primary Care Contractors	0.415	(0.157)	0.258	(0.010)	0.248
Other non pay	1.703	0.000	1.703	0.259	1.962
Variance to Plan £m	3.874	(0.416)	3.458	0.674	4.132
Planned Deficit				1.775	1.775
Total Deficit/(Surplus) £m	3.874	(0.416)	3.458	2.449	5.907

The largest deficit is in non pay budgets. The month 1 position is a deficit of £5.907m comprising net COVID 19 expenditure of £3.458m and an operational overspend of £2.449m. The majority of the operational overspend relates to the planned deficit of £21.3m for 2021/22 which is being phased into the reported position in straight twelfths at £1.775m per month. A further operational overspend of £0.674m arose in month.

The key COVID 19 costs related to non pay are as follows:

- £0.390m overspend on general supplies and services primarily relating to PPE where costs are less than the original forecast as a result of changes in the price and volume of PPE.
- £0.494m overspend on Premises and Fixed Plant including £0.277m in relation to the Mass vaccination centres, £0.055m relating to energy, utilities and rates at the Lakeside Surge Hospital.
- £1.703m on other non pay primarily due to the Local Authority TTP Team and healthcare activity commissioned from the Independent sector.

The COVID 19 related costs have been netted down by £0.416m for reductions in non pay costs mainly arising from reduced levels consumables associated with elective activity and adjustments to dental contracts.

The main issues driving the £2.449m operational overspend against non pay were as follows:

- £0.199m overspend against drugs and prescribing primarily due to pressures against primary care GP prescribing where there were pressures against Category M and NICE drugs.
- £0.180m overspend against Clinical services and supplies primarily due to cardiac/BMT and Haematology costs.
- The adverse variance of £0.605m against general supplies and services and surplus of £0.492m against premises and fixed plant is largely due to re classification of £0.607m of 2020/21 costs relating to the Dragons Heart Hospital.
- £2.034m adverse variance against other non-pay mainly due to the phasing in of one twelfth of the £21.3m underlying deficit at £1.775m with the remaining overspend primarily reflecting historic non pay pressures primarily in the Women and Children Clinical Board .

Net Expenditure Due to COVID 19

At month 1 Table B3 is projecting net expenditure due to COVID-19 to be £118.741m. The COVID year-end forecast position is breakeven following confirmation/assumed funding matching net expenditure. This is summarised in table 9:

Table 9: Summary of Forecast COVID 19 Net Expenditure

	Month 1 £m	Forecast Year-End Position £m
COVID 19 Testing	0.243	2.914
COVID 19 Tracing	1.016	15.767
COVID 19 Vaccination	1.580	18.903
Extended Flu vaccination	0.000	1.536
Cleaning Standards	0.155	3.978
PPE	0.379	4.601
Continuing Care and Funded Nursing Care	0.249	0.747
Urgent and Emergency Care	0.157	2.057
COVID 19 Local Response	4.891	58.364
COVID 19 Recovery	0.136	13.660
COVID 19 Non Delivery of Savings Plans	0.000	0.000
COVID 19 Reductions in Planned Expenditure	(0.661)	(3.786)
COVID 19 Release of Planned Investments	0.000	0.000
Net Expenditure Due To COVID 19	8.145	118.741
Welsh Government COVID funding confirmed / assumed	(8.145)	(118.741)
Net COVID 19 Forecast Position (Surplus) / Deficit £m	0.000	0.000

This forecast includes funding assumed from Welsh Government totaling £118.741m to match the forecast costs.

Key Financial Assumptions

- Local response cost allocation of £22.6m to support first 6 months of the financial year based on Cardiff and Vale 13.3% allocation share
- Funding for national programmes on an actual cost basis:
 - Testing costs
 - Mass vaccination programme
 - Transforming access to emergency care
 - Cleaning standards
 - NHS commissioned packages of care
 - PPE
 - Tracing costs (allocated from separate fund)
- Initial COVID recovery allocation of £13.660m
- **Additional further COVID response funding has been assumed in line with current forecast costs. This covers a forecast over commitment of £4m against the first 6 month allocation and forecast costs for the second half of the financial year. Whilst additional income has been assumed at month 1 for the forecast over commitment for the first six months against £22.6m allocation, action is also being taken to review this assessed level of spend to bring it back in line with the allocated funds.**

Overarching Planning assumptions for 2021/22

The first principle of the UHB's approach to planning through the pandemic has been, and continues to be, "COVID ready". This recognises the need to be ahead of the 'COVID-curve' and an appreciation that the uncertainty is such that UHB plans must be dynamic and anticipate the full range of possibilities. As a result the UHB:

- has developed, with its Local Authority partners, a comprehensive surveillance dashboard to closely monitor all aspects of the pandemic which gives us an early warning if the situation is deteriorating
- utilises 'nowcasts' to predict future COVID demand over 4-week time horizons
- has established the concept of 'gearing' to set out our escalation and de-escalation measures as COVID numbers increase and decrease
- has developed internal models to produce longer-term scenario modelling and understand the range of potential trajectories for COVID

To that end the UHB has developed three broad scenarios (shown in table 4), representing the range of plausible circumstances (for COVID 19) over the coming year. It is important to note this is not to predict or project what might happen, but rather to understand the range of demand levels that the UHB may need to be prepared for. The model used for these scenarios has been

further developed to account for the impact that the vaccine roll-out programme is expected to have on reducing susceptibility within the population.

Table 10: Scenario Analysis

Scenario		Gear	Description
1	COVID-19 “best-case”	Lower end of ‘Significant’ or ‘COVID-free’	COVID recedes significantly during quarter one and – as a consequence of the vaccine programme and no new vaccine-resistant variants emerging - doesn’t return to any significant degree
2	COVID-19 “worst-case”	Substantial (during Autumn/Winter)	New variants emerge over the summer which significantly reduce the effectiveness of the vaccines and result in a substantial third wave in the Autumn/Winter of 21/22
3	COVID-19 “central” scenario	Significant (during Autumn/Winter)	COVID reduces through Q1/Q2 but then a smaller third wave occurs during Autumn/Winter as a result of a partial reduction in the effectiveness of the vaccines

COVID Local Response

Full year forecasting remains a challenge given the range of potential COVID trajectories. Whilst COVID prevalence is currently low the organisation needs to remain COVID ready. Key cost drivers within the UHB’s local COVID response include:

- Full year costs of £9.344m relating to the continued use of the independent sector and the extension of the mobile MRI at UHW. Independent sector usage described in our COVID recovery plan is in addition to this.
- Lakeside wing running and staffing costs. 50 beds are currently open.
- Additional staffing costs relating to the continued use of green zones.
- Revised layout and expansion of critical care
- Radiology and therapies 7 day working
- Increased NCSO costs relating to medicines supply restraints
- Additional partnership working with the 3rd Sector
- Ongoing reductions in both private patient and commercial income streams

COVID Recovery

The focus is now increasingly turning to planning recovery of the system that will present a long term challenge. The organisation is now progressing its recovery plans in line with its initial recovery proposals against the WG £100m allocation for 2021/22.

In order to minimize the risk to patients of not progressing recovery plans at the earliest opportunity the Chief Operating Officer was authorized to progress up to £6m of recovery

schemes at risk and subsequently approved the following schemes at risk. It should be noted that these schemes have a recurrent costs that will need to be managed out by staff turnover should funding not be available next financial year. This however appears unlikely.

Table 11: COVID Recovery Schemes approved “at risk”

Description	2021-22 £000s	2022-23 £000s
Extension of outsourcing and insourcing	1,620	0
Waiting List Initiatives	976	0
Specialty Specific Schemes	308	447
Recruitment of time critical posts	1,779	2,141
Delivery Capability	645	394
Total £'000s	5,327	2,982

Following a bid against the £100m currently available for COVID Recovery, the UHB has now had a confirmed allocation of £13.660m non-recurrent funding. This was £1.7m less than bid for. Table 12 sets out the original bid, the schemes approved at risk, the approved allocation and the latest forecast spend across the various components of COVID Recovery.

Table 12: Recovery Schemes against Welsh Government Recovery Funding

Description	Bid 26.04.21 2021-22 £000s	2021-22 Approved At risk £000s	WG Approved 2021-22 £000s	2021-22 Forecast Spend £000s
Extension of outsourcing and insourcing	6,757	1,620	6,757	6,231
Waiting List Initiatives	1,214	1,007	1,214	1,214
Specialty Specific Schemes	610	445	610	506
Therapies	448	0	448	448
Recruitment of key posts	3,380	1,541	3,381	2,981
Delivery Capability	1,700	645	0	645
Schemes to mitigate constraint (CDH & Mobile Units)	1,252	0	1,250	1,252
Other	0	70	0	70
Total £'000s	15,360	5,327	13,660	13,346

The forecast spend for 2021/22 for Planned Care COVID Recovery is within the Welsh Government funding envelope and there is potentially further slippage.

Urgent and Emergency Care

The UHB continues to shape its unscheduled care plans around the goals of the national urgent and emergency care framework. Costs included relate to urgent primary care centres and CAV 24/7.

Resuming Non-Covid Activity

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Throughout the pandemic the UHB has maintained core essential services. Given the uncertainty brought about by COVID 19 the UHB continues to operate in 4 week planning cycles, with prioritisation of need based upon clinical-stratification rather than time-based stratification

The reductions in non pay costs due to reduced elective capacity is forecast to be £3.786m over the year. This represents activity rising to 70% of pre-COVID levels through Q1, 80% through Q2 and 90% through Q4 supported by COVID recovery plans including continued, and increased use of the independent sector and the commissioning of new modular theatres.

Financial Uncertainties

The financial forecast sets out the UHBs best assessment of income and costs based upon alignment of capacity, activity, service and finances of the COVID “central” scenario. The key financial risks and uncertainties are:

- Continuation of block contract arrangements;
- The financial forecast has been based upon the UHB COVID “central” scenario, and the actual scale of impact will largely determine the resource requirements linked to workforce availability.

Financial Performance of Clinical Boards

Budgets were set to ensure that there is sufficient resource available to deliver the UHB’s plan. Financial performance for month 1 by Clinical Board is shown in Table 13.

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Table 13: Financial Performance for the period ended 30th April 2021

Clinical Board	COVID 19 Additional Expenditure £m	COVID 19 Reductions in Planned Expenditure £m	COVID 19 Net Expenditure £m	Welsh Government COVID 19 Funding Received £m	Operational Position (Surplus) / Deficit Variance £m	In Month (Surplus) / Deficit Variance £m
In Month						
All Wales Genomics Service	0.000	0.000	0.000	0.000	0.005	0.005
Capital Estates & Facilities	0.537	0.000	0.537	0.000	(0.030)	0.507
Children & Women	0.218	0.000	0.218	0.000	0.043	0.260
Clinical Diagnostics & Therapies	0.226	0.000	0.226	0.000	0.035	0.261
Surge Hospitals	0.000	0.000	0.000	0.000	0.000	0.000
Executives	0.187	0.000	0.187	0.000	(0.071)	0.116
Medicine	1.497	0.000	1.497	0.000	0.410	1.907
Mental Health	0.277	0.000	0.277	0.000	0.050	0.327
PCIC	4.027	(0.182)	3.845	0.000	0.114	3.959
Specialist	0.217	0.000	0.217	0.000	0.039	0.256
Surgery	0.516	(0.368)	0.148	0.000	0.072	0.220
SubTotal Delegated Position £m	7.702	(0.550)	7.152	0.000	0.666	7.818
Central Budgets	0.992	0.000	0.992	0.000	(0.513)	0.480
Total Variance pre COVID -19 Funding	8.694	(0.550)	8.145	0.000	0.154	8.298
Welsh Government COVID - 19 Funding	0.000	0.000	0.000	(8.145)	0.000	(8.145)
Planned Deficit	0.000	0.000	0.000	0.000	1.775	1.775
Total Variance £m	8.694	(0.550)	8.145	(8.145)	1.929	1.929
Cumulative						
All Wales Genomics Service	0.000	0.000	0.000	0.000	0.005	0.005
Capital Estates & Facilities	0.537	0.000	0.537	0.000	(0.030)	0.507
Children & Women	0.218	0.000	0.218	0.000	0.043	0.260
Clinical Diagnostics & Therapies	0.226	0.000	0.226	0.000	0.035	0.261
Surge Hospitals	0.000	0.000	0.000	0.000	0.000	0.000
Executives	0.187	0.000	0.187	0.000	(0.071)	0.116
Medicine	1.497	0.000	1.497	0.000	0.410	1.907
Mental Health	0.277	0.000	0.277	0.000	0.050	0.327
PCIC	4.027	(0.182)	3.845	0.000	0.114	3.959
Specialist	0.217	0.000	0.217	0.000	0.039	0.256
Surgery	0.516	(0.368)	0.148	0.000	0.072	0.220
SubTotal Delegated Position £m	7.702	(0.550)	7.152	0.000	0.666	7.818
Central Budgets	0.992	0.000	0.992	0.000	(0.513)	0.480
Total	8.694	(0.550)	8.145	0.000	0.154	8.298
Welsh Government COVID - 19 Funding	0.000	0.000	0.000	(8.145)	0.000	(8.145)
Planned Deficit	0.000	0.000	0.000	0.000	1.775	1.775
Total Variance £m	8.694	(0.550)	8.145	(8.145)	1.929	1.929

Delegated budgets are £7.818m overspent for the 1 month to the end of April 2021. £7.152m of this overspend relates to additional expenditure generated in response to COVID 19 with a further £0.666m arising from an operational deficit. The operational deficit of £0.666m against delegated budgets is offset by a £0.513m underspend against central budgets leaving a total operational overspend of £0.154m before the addition of the £1.775m planned deficit in month to leave a total overspend of £1.929m

The largest operational overspend is in the Medicine Clinical Board (£0.410m deficit) where the main pressure areas are nursing and medical staffing

Savings Programme

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Delivery of the core financial plan includes a 2% (£16.0m) savings requirement. At month 1 the UHB has identified £8.486m of green and amber schemes to deliver against the £16.000m savings target leaving a further £7.514m schemes to identify as outlined in table 14 below:

Table 14: Savings Schemes

	Total Savings Target £m	Total Savings Identified £m	Total Savings (Unidentified) £m
Total £m	16.000	8.486	(7.514)

Further progress will need to be made before the final plan is submitted at the end of quarter 1

Further analysis of the April position is shown in **Appendix 1**.

Underlying Financial Position

A key challenge to the UHB is eliminating its underlying deficit. The UHB's accumulated underlying deficit brought forward into 2021/22 is £25.3m which reflects the £21.3m shortfall against the recurrent savings 2020/21 target due to the pandemic. An illustration of the year on year movement in the underlying deficit is shown at **Appendix 2**.

Delivery of the UHB's draft financial plan will ensure that the underlying position does not deteriorate in 2021/22 and this will leave an underlying deficit of £25.3m to carry forward to 2021/22 as shown in Table 15.

Table 15: Summary of Forecast Underlying Financial Position

	Submitted Draft Plan £m	Draft Position @Month 1	
		Non Recurrent £m	Recurrent Position £m
b/f underlying deficit	(25.3)	0.0	(25.3)
Net Allocation Uplift (inc LTA inflation)	19.4		19.4
Cost Pressures	(27.4)		(27.4)
Investments	(4.0)		(4.0)
Recurrent Cost Improvement Plans	12.0		12.0
Non Recurrent Cost Improvement Plans	4.0	4.0	
Submitted 2020/21 IMTP £m	(21.3)	4.0	(25.3)
In Year Movements			
Operational Expenditure Cost Increase Due To Covid-19	(122.5)	(122.5)	
Planned Operational Expenditure Cost Reduction Due To Covid-19	3.8	3.8	
COVID 19 Welsh Govt. Funding based on Q3/Q4 planning assumptions	118.7	118.7	
Planned deficit			
Operational Surplus	0.0	0.0	
Planned Deficit 2021/22	(21.3)	4.0	(25.3)

Balance Sheet

The balance sheet is expected to be provided at month 3 following external audit of the 2020/21 draft financial accounts and determination of draft brought forward balances.

Cash Flow Forecast

The closing cash balance at the end of April was £4.784m. The cashflow is expected to be provided at month 3 following the external audit of the 2020/21 draft financial accounts and determination of brought forward balances.

Public Sector Payment Compliance

The UHB's public sector payment compliance performance was 93.0% at the end of April which is 2% under the 95% target level. Performance was impaired by the release of a large number of on hold invoices against a small number of suppliers. Performance is expected to improve as the year progresses.

Key Risks

Delivery of the core financial plan includes a 2% (£16.0m) savings requirement. At month 1 the UHB needed to identify a further £7.544m of Green and Amber savings to meet the 2% (£16.0m) savings requirement required to deliver the core financial plan. Further progress will need to be made before the final plan is submitted at the end of quarter 1.

The UHB also has a risk in its current and forecast level of COVID response costs which are in excess of funding received. The estimated costs for the first 6 months are £4.056m higher than confirmed allocations and whilst the UHB will be seeking additional funding to cover this, its is also reviewing its forecast response plans to align them with the the resources available to mitigate this risk.

Recommendation:

The Finance Committee is asked to:

- **NOTE** the month 1 financial impact of COVID 19 which is assessed at £8.145m ;
- **NOTE** the additional Welsh Government COVID 19 funding of £8.145m assumed within the month 1 position.
- **NOTE** the reported overspend of £1.929m at month 1 due to £0.154m of operational pressures and a planning deficit of £1.775m
- **NOTE** the forecast deficit of £21.3m which is consistent with the draft financial plan and assumed additional Welsh Government funding of £118.741m to manage the impact of COVID 19 in 2021/22 in line with response and recovery assumptions;
- **NOTE** that the UHB has a risk in its current and forecast level of COVID response costs which are in £4.056m in excess of funding received for the first 6 months.
- **NOTE** the 2021/22 brought forward Underlying Deficit of £25.3m and the forecast carry forward of £25.3m to 2022/23.

Shaping our Future Wellbeing Strategic Objectives

This report should relate to at least one of the UHB's objectives, so please tick the box of the relevant objective(s) for this report

1. Reduce health inequalities		6. Have a planned care system where demand and capacity are in balance	
2. Deliver outcomes that matter to people		7. Be a great place to work and learn	
3. All take responsibility for improving our health and wellbeing		8. Work better together with partners to deliver care and support across care sectors, making best use of our people and technology	
4. Offer services that deliver the population health our citizens are entitled to expect		9. Reduce harm, waste and variation sustainably making best use of the resources available to us	x
5. Have an unplanned (emergency) care system that provides the right care, in the right place, first time		10. Excel at teaching, research, innovation and improvement and provide an environment where innovation thrives	

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Five Ways of Working (Sustainable Development Principles) considered

Please tick as relevant, click [here](#) for more information

Prevention		Long term	x	Integration		Collaboration		Involvement	
Equality and Health Impact Assessment Completed:		Not Applicable							

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2021/22 SAVING SCHEMES

2021-22 In-Year Effect

Clinical Board	21-22 Target 2%	Green	Amber	Total Green & Amber	Red	Shortfall on Total Target vs Green & Amber
	£'000	£'000	£'000	£'000	£'000	£'000
Capital Estates and Facilities	946	0	797	797	0	150
Children and Women	1,303	729	238	967	171	336
Clinical Diagnostics and Therapeutics	1,199	259	105	364	0	835
Corporate Executives	500	0	0	0	0	500
Medicine	1,378	528	0	528	0	850
Mental Health	1,079	145	0	145	0	934
Primary, Community and Intermediate Care	2,423	534	400	934	0	1,489
Specialist Services	1,482	1,097	330	1,427	0	55
Surgical Services	1,689	378	0	378	0	1,311
Sub Total Clinical Boards £'000	12,000	3,670	1,869	5,540	171	6,460
Healthboard Wide	4,000	947	2,000	2,947	0	1,053
Total £'000	16,000	4,617	3,869	8,486	171	7,514

2021-22 Full Year Effect

Clinical Board	21-22 Target 1.5%	Green	Amber	Total Green & Amber	Pipeline Red	Shortfall on Total Target vs Green & Amber
	£'000	£'000	£'000	£'000	£'000	£'000
Capital Estates and Facilities	789	0	797	797	0	-8
Children and Women	1,086	112	161	274	171	812
Clinical Diagnostics and Therapeutics	999	107	89	195	0	804
Corporate Executives	417	0	0	0	0	417
Medicine	1,148	263	0	263	0	885
Mental Health	899	0	0	0	0	899
Primary, Community and Intermediate Care	2,019	515	0	515	0	1,504
Specialist Services	1,235	752	330	1,082	0	153
Surgical Services	1,407	261	0	261	0	1,146
Sub Total Clinical Boards £'000	10,000	2,011	1,376	3,387	171	6,612
Healthboard Wide	2,000	947	0	947	0	1,053
Total £'000	12,000	2,958	1,376	4,334	171	7,666

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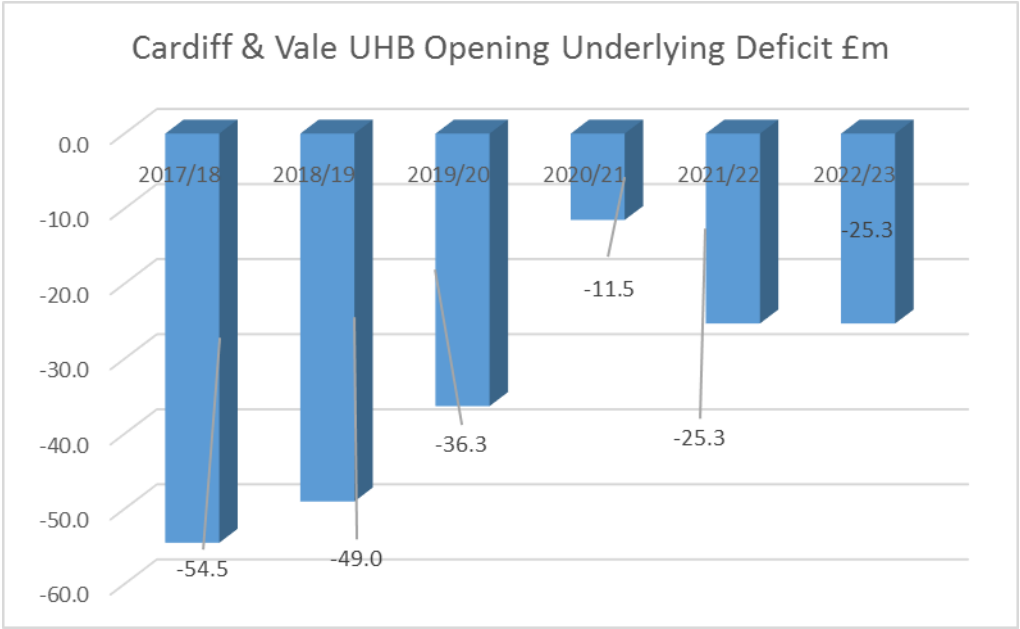
**CARING FOR PEOPLE
KEEPING PEOPLE WELL**



**GIG
CYMRU
NHS
WALES**

Bwrdd Iechyd Prifysgol
Caerdydd a'r Fro
Cardiff and Vale
University Health Board

Year on Year Movement in Cardiff & Vale UHB Underlying Deficit



Report Title:	Finance Risk Register					
Meeting:	Finance Committee			Meeting Date:	26 th May 2021	
Status:	For Discussion		For Assurance		For Approval	For Information
Lead Executive:	Executive Director of Finance					
Report Author (Title):	Assistant Director of Finance					

Background and current situation:

This report highlights the 2021/22 Finance Risk Register risk categorisation by severity of risk as at 26th May 2021. The detailed 2021/22 risk register is shown in Appendix 1.

The number of risks identified in each category is shown below:

2021/22 UHB Financial Risks at 26th May 2021

Risk Category	Risk Score	Number of Risks as at 26 May 2021
Extreme Risk	20 - 25	3
High Risk	12 - 16	5
Moderate Risk	4 - 10	0
Low Risk	1 - 3	0

A summary of the **Extreme Risks** are shown below:

Fin01/21 – Maintaining underlying deficit of £25.3m in line with draft annual plan.

Fin02/21 – Management of budget pressures.

Fin03/21 – Delivery of £16.0m (2.0%) CIP

Assessment and Risk Implications (Safety, Financial, Legal, Reputational etc:)

The Finance Committee will be kept up to date regarding any additions to the Risk Register or any change in risk assessment.

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Recommendation:

The Finance Committee is asked to:

- **NOTE** the risks highlighted within the 2021/22 risk register

Shaping our Future Wellbeing Strategic Objectives

This report should relate to at least one of the UHB's objectives, so please tick the box of the relevant objective(s) for this report

1. Reduce health inequalities		6. Have a planned care system where demand and capacity are in balance	
2. Deliver outcomes that matter to people		7. Be a great place to work and learn	
3. All take responsibility for improving our health and wellbeing		8. Work better together with partners to deliver care and support across care sectors, making best use of our people and technology	
4. Offer services that deliver the population health our citizens are entitled to expect		9. Reduce harm, waste and variation sustainably making best use of the resources available to us	
5. Have an unplanned (emergency) care system that provides the right care, in the right place, first time		10. Excel at teaching, research, innovation and improvement and provide an environment where innovation thrives	

Five Ways of Working (Sustainable Development Principles) considered

Please tick as relevant, click [here](#) for more information

Prevention		Long term		Integration		Collaboration		Involvement	
Equality and Health Impact Assessment Completed:	Yes / No / Not Applicable <i>If "yes" please provide copy of the assessment. This will be linked to the report when published.</i>								



CB/Dir Ref No	Domain	Date Entered onto new CB/Dir/UHB Risk Register	Risk/Issue (Including Impact)	Exec Lead	Initial Risk Rating			Existing Controls	Assurance	Current Risk Rating			Gaps in Controls	Gaps in Assurance	Summary of Additional Actions being undertaken	Who	When	Target Risk Rating if Controls in Place			Date of Next Review	Level of assurance required
					Impact / Consequence	Likelihood	Score			Impact / Consequence	Likelihood	Score						Impact / Consequence	Likelihood	Score		
Fin01/21	Finance	Jan-20	The opening underlying deficit in the draft 21/22 plan is £25.3m. The annual plan aims to maintain the £25.3m underlying deficit .	Director of Finance	5	4	20	Governance reporting and monitoring arrangements through the Finance Committee and Board	Limited Assurance	5	4	20	Adequate but more Action Required	Identification of 2% savings plan whilst managing and addressing budget pressures.	Progress against the underlying deficit is to be managed by Management Executive.	Assistant Director of Finance	May-21	3	3	9	May-21	Management
Fin02/21	Finance	Jan-20	Manage Budget pressures	Director of Finance	5	4	20	The requirement to manage budget pressures clearly communicated to primary budget holders. Standing Financial Instructions set spending limits. Break even plans have been requested from all Clinical Boards. Progress to be reviewed through Executive Performance Reviews with Clinical Boards.	Limited Assurance	5	4	20	Adequate but more Action Required	Plans to address overspending budgets in 2020/21 addressing the risk in 2021/22. Operational overspend at month 1 £0.154m.	Escalation process led by Chief Executive	Assistant Director of Finance	May-21	3	3	9	May-21	Management
Fin03/21	Finance	Jan-20	Deliver 2%% CIP £16m (1.5% recurrent)	Director of Finance	5	4	20	2% CIP target clearly communicated to budget holders. CIP tracker in place to monitor weekly progress across the organisation. Health Board Wide Schemes being led by Executive Directors Monthly Financial Clearance Meeting. Executive / Clinical Board Performance Reviews.	Limited Assurance	5	4	20	Adequate but more Action Required	£8.496m savings identified as green or amber against target of £16m as at w/c 3rd May 2021.	Escalation process led by Chief Executive	Assistant Director of Finance	May-21	3	3	9	May-21	Management
Fin04/21	Finance	Jan-20	Manage internal investments within draft £4m envelope	Director of Finance	4	3	12	When Internal investment plan agreed business cases to be approved through the Business Case Approval Group (BCAG)	Reasonable assurance	4	3	12	Adequate but more Action Required	Final investement schedule to be agreed	Internal investments will not be agreed until the UHB has a full savings programme in place.	Assistant Director of Finance	May-21	2	2	4	May-21	Management
Fin05/21	Finance	Jan-20	Commissioning Risks including block contracts	Director of Finance	4	3	12	Regular performance/LTA meetings with other providers/WHSSC and internal commissioning group.	Reasonable assurance	4	3	12	Adequate but more Action Required	Annual plan commissioner / provider sign off and agreement	None	Assistant Director of Finance	May-21	2	2	4	May-21	Management
Fin06/21	Finance	Feb-20	Winter pressures managed within available resources	Director of Finance	4	4	16	Winter plan for 2021/22 developed in partnership with Local Authorities and signed off by Management Executive	Reasonable assurance	4	3	12	Adequate but more Action Required	None	Progress report to be received through performance review meetings.	Assistant Director of Finance	May-21	2	2	4	May-21	Management
Fin07/21	Finance	Feb-20	COVID-19 Response	Director of Finance	4	4	16	Oversight arrangements in place at Board level and through the command structure. Expenditure Plans developing controlled through Management Executive and Directors of Operations.	Limited Assurance	4	4	16	Adequate but more Action Required	Agreement of expenditure plan and monitoring against WG £22.6m 6 month allocation	Detailed expenditure forecast covering Q1 and Q2 currently being reviewed through COO and Directors of Ops.	Assistant Director of Finance	May-21	3	3	9	May-21	Management
Fin08/21	Finance	Feb-20	COVID-19 Recovery	Director of Finance	4	4	16	Oversight arrangements in place at Board level and through the command structure. Expenditure Plans developing controlled through Management Executive and Directors of Operations.	Limited Assurance	4	4	16	Adequate but more Action Required	Agreement of expenditure plan and monitoring against WG £13.660m allocation	Recovery plan bids against £100m Recovery fund to be submitted to WG by 26th April. £13.660m funding agreed.	Assistant Director of Finance	May-21	3	3	9	May-21	Management

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st completing the risk register

assessment, prior to them being added to the Risk Register

UHB Refere
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Link to Existin
Curren t Risk Ranki ng:- This is
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Risk Owner
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	Consequence score (severity levels) and examples of descriptors				
	1	2	3	4	5
Domains	Negligible	Minor	Moderate	Major	Catastrophic
Impact on the safety of patients, staff or public (physical/psychological harm)	Minimal injury requiring no/minimal intervention or treatment. No time off work	Minor injury or illness, requiring minor intervention Requiring time off work for >3 days Increase in length of hospital stay by 1-3 days	Moderate injury requiring professional intervention Requiring time off work for 4-14 days Increase in length of hospital stay by 4-15 days RIDDOR/agency reportable incident An event which impacts on a small number of patients	Major injury leading to long-term incapacity/disability Requiring time off work for >14 days Increase in length of hospital stay by >15 days Mismanagement of patient care with long-term effects	Incident leading to death Multiple permanent injuries or irreversible health effects An event which impacts on a large number of patients
Quality/complaints/audit	Peripheral element of treatment or service suboptimal Informal complaint/inquiry	Overall treatment or service suboptimal Formal complaint/ Local resolution Single failure to meet internal standards Minor implications for patient safety if unresolved Reduced performance rating if unresolved	Treatment or service has significantly reduced effectiveness Formal complaint / Local resolution (with potential to go to independent review) Repeated failure to meet internal standards Major patient safety implications if findings are not acted on	Non-compliance with national standards with significant risk to patients if unresolved Multiple complaints/ independent review Critical report	Totally unacceptable level or quality of treatment/service Inquest/ombudsman inquiry Gross failure of patient safety if findings not acted on Gross failure to meet national standards
Human resources/organisational development/staffing / competence	Short-term low staffing level that temporarily reduces service quality (< 1 day)	Low staffing level that reduces the service quality	Late delivery of key objective/ service due to lack of staff Unsafe staffing level or competence (>1 day) Low staff morale Poor staff attendance for mandatory/key professional training	Uncertain delivery of key objective/service due to lack of staff Unsafe staffing level or competence (>5 days) Loss of key staff Very low staff morale No staff attending mandatory/ key professional training	Non-delivery of key objective/service due to lack of staff Ongoing unsafe staffing levels or competence Loss of several key staff No staff attending mandatory training /key professional training on an ongoing basis
Statutory duty/inspections	No or minimal impact or breach of guidance/ statutory duty	Breach of statutory legislation	Single breach in statutory duty Challenging external recommendations/ improvement notice	Enforcement action Multiple breaches in statutory duty Improvement prohibition notices Critical report	Multiple breaches in statutory duty Prosecution Complete systems change required Severely critical report

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Adverse publicity/ reputation	Rumours Potential for public concern	Local media coverage – short-term reduction in public confidence Elements of public expectation not being met	Local media coverage – long-term reduction in public confidence	National media coverage with <3 days service well below reasonable public expectation	National media coverage with >3 days service well below reasonable public expectation. MP/AM concerned (questions in the House/Assembly) Total loss of public confidence
Business objectives/ projects	Insignificant cost increase/ schedule slippage	<5 per cent over project budget Schedule slippage	5–10 per cent over project budget Schedule slippage	Non-compliance with national 10–25 per cent over project budget Schedule slippage Key objectives not met	Incident leading >25 per cent over project budget Schedule slippage Key objectives not met
Finance including claims	Small loss Risk of claim remote	Loss of 0.1–0.25 per cent of budget Claim less than £10,000	Loss of 0.25–0.5 per cent of budget Claim(s) between £10,000 and £100,000	Uncertain delivery of key objective/Loss of 0.5–1.0 per cent of budget Claim(s) between £100,000 and £1 million Purchasers failing to pay on time	Non-delivery of key objective/ Loss of >1 per cent of budget Failure to meet specification/ slippage Loss of contract Claim(s) >£1 million
Service/business interruption	Loss/interruption of >1 hour	Loss/interruption of >8 hours	Loss/interruption of >1 day	Loss/interruption of >1 week	Permanent loss of service or facility
Environmental impact	Minimal or no impact on the environment	Minor impact on environment	Moderate impact on environment	Major impact on environment	Catastrophic impact on environment

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Likelihood Score (L)

- What is the likelihood of the consequence occurring?
- The frequency based score is appropriate in most circumstances and is easier to identify. It should be used whenever it is possible to identify the frequency at which a risk is likely to occur.
- The probability score is more appropriate for risks relating to time limited or one-off projects or business objectives

Likelihood Score

Descriptor	1 Rare	2 Unlikely	3 Possible	4 Likely	5 Almost Certain
Frequency How often does it might it happen	This will probably never happen/recur	Do not expect it to happen / recur but it is possible it may do so	Might happen or recur occasionally	Will probably happen/recur but it is not a persisting issue	Will undoubtedly happen/recur, possibly frequently
Probability Will it happen or not? % chance of <u>not</u> meeting objective	<0.1 per cent	0.1-1 per cent	1 -10 per cent	10-50 per cent	>50 per cent

Table 3 - Risk Scoring = Consequence x Likelihood (C x L)

Consequence Score	Likelihood Score				
	1	2	3	4	5
5 - Catastrophic	5	10	15	20	25
4 - Major	4	8	12	16	20
3 - Moderate	3	6	9	12	15
2 - Minor	2	4	6	8	10
1 - Negligible	1	2	3	4	5

For grading risk, the scores obtained from the risk matrix are assigned grades as follows

1 - 3 = Low Risk	Quick, easy measures implemented immediately and further action planned for when resources permit
4 - 10 = Moderate Risk	Actions implemented as soon as possible but no later than a year
12 - 16 = High Risk	Actions implemented as soon as possible but no later than six months
20 - 25 = Extreme Risk	Requires urgent action. The UHB Board is made aware and it implements immediate corrective action

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THE WELSH GOVERNMENT FINANCIAL COMMENTARY

FINANCIAL POSITION FOR THE ONE MONTH PERIOD ENDED 30th APRIL 2021

INTRODUCTION

Welsh Government outlined the annual planning arrangements for the UHB in a letter dated March 11th 2021. The letter acknowledged that there were still uncertainties around the pattern of the COVID 19 virus and the impact on activity, workforce, recovery and the associated financial considerations. In addition there was a recognition that the final Welsh Government Budget was likely to influence 2021/22 plans, however it was unlikely that this would be agreed before the submission of initial plans. In this context the UHB submitted a draft plan at the end of March 2021 which focused on delivering in-year financial stability and maintaining the current level of underlying deficit. The draft plan included a planned deficit of £21.3m in 2021/22 and if delivered will ensure that the underlying position does not deteriorate.

The draft plan is subject to further review during quarter 1 of 2021/22 in the light of additional planning guidance and the UHB expects to submit a final financial plan for 2020/21 at the end of quarter 1.

At month 1, the UHB is reporting an overspend of £1.929m against its submitted draft plan due to £0.154m of operational pressures and a planning deficit of £1.775m, which is a twelfth of the planned deficit of £21.3m identified in the draft 2021/22 financial plans. The UHB is reporting that it incurred net Covid response and recovery costs of £8.145m in month 1 and it is assumed that these costs will be covered by additional COVID 19 allocations.

BACKGROUND

The Health Board agreed and submitted draft financial plan to Welsh Government at the end of March 2021. A summary of the core draft plan submitted is provided in Table 1.

Table 1: 2021/22 Core Draft Plan

	2021/22 Plan £m	2022/23 Plan £m
Prior Year Plan	(4.0)	(21.3)
Adjustment for non recurrent items in previous year (note 1)	(21.3)	(4.0)
b/f underlying deficit	(25.3)	(25.3)
Net Allocation Uplift (including LTA inflation) (note 2)	19.4	
Draft Cost Pressures Assessment (note 3)	(27.4)	
Investments	(4.0)	
Recurrent Cost Improvement Plans 1.5% (note 4)	12.0	
Non Recurrent Cost Improvement Plans 0.5% (note 5)	4.0	
Planned Surplus/(Deficit) 2021/22	(21.3)	

This represents the core financial plan of the health Board. In addition the UHB will inevitably be incurring additional COVID 19 costs in respect of response and recovery. These are seen in addition to the core financial plan and at this stage the UHB is anticipating additional funding to cover these costs.

These financial monitoring returns have been prepared against the UHB's submitted Draft Core Financial Plan which includes a planning deficit of £21.3m for 2021/22. This report details the financial position of the UHB for the period ended 30th April 2021.

The UHB has separately identified non COVID 19 and COVID 19 expenditure against its submitted plan in order to assess the financial impact of COVID 19.

A full commentary has been provided to cover the tables requested for the month 1 financial position.

The response to the queries raised in the month 12 financial monitoring returns is set out in an attachment to this commentary.

MOVEMENT OF OPENING FINANCIAL PLAN TO FORECAST OUTTURN and UNDERLYING POSITION (TABLE A & A1)

Table A sets out the financial plan and latest position at month 1 for which the following should be noted:

- It is assumed that LTA inflation of £5.075m that will be passed to the UHB from other Health Boards;
- The UHB's £16m 2021/22 savings target is reported on lines 8,9 & 13;
- The forecast position reflects the assessed COVID 19 response and recovery costs in Table B 3 and assumes that additional Welsh Government Funding will be provided to match the costs;

- The bought forward and forecast underlying deficit is £25.3m as outlined in the draft financial plan.

The identification and delivery of the £12m (1.5%) recurrent savings target is key to delivery of the planned in year and underlying position.

OVERVIEW OF KEY RISKS & OPPORTUNITIES (TABLE A2)

Table A2 reflects the risks identified in the draft plan and these will be reviewed on a monthly basis.

ACTUAL YEAR TO DATE (TABLE B AND B2)

Table B confirms the year to date deficit of £1.929m and reflects the analysis contained in the annual operating plan in Table A. The UHB is reporting a deficit of £1.929m for the year to date and a forecast deficit of £21.300m as shown in Table 2.

Table 2: Summary Financial Position for the period ended 30th April 2021

	Cumulative Month 1 £m	Forecast Year-End Position £m
COVID 19 Additional Expenditure	8.806	122.527
COVID 19 Reductions in Planned Expenditure	(0.661)	(3.786)
Net Expenditure Due To COVID 19	8.145	118.741
Welsh Government COVID funding received / assumed	(8.145)	(118.741)
Net COVID 19 Forecast Position (Surplus) / Deficit £m	0.000	0.000
Planned Deficit per Draft Plan	1.775	21.300
Operational position (Surplus) / Deficit	0.154	0.000
Financial Position £m (Surplus) / Deficit £m	1.929	21.300

The month 1 deficit of £1.929m comprised of the following:

- £1.775m planned deficit (1/12th of £21.300m);
- £0.154m adverse variance against plan.

It is profiled that the small adverse operational variance of £0.154m at month 1, which is not considered material, will be recovered as the year progresses and that the UHB will deliver its planned deficit of £21.3m.

The forecast assumes that the UHB will successfully identify and deliver further savings schemes to cover the planning assumptions detailed in the draft financial plan.

The additional COVID 19 expenditure in month 1 was £8.806m and this has been offset by expenditure reduction £0.661m as a consequence of the curtailment of services arising from measures to manage COVID leaving net expenditure due to COVID 19 of £8.145m.

It is assumed that Welsh Government COVID funding including additional further COVID response funding will be provided to cover the extra COVID costs arising in month 1 and for the remainder of the year.

PAY & AGENCY (TABLE B2)

The UHB recorded Agency costs of £1.521m in month 1 and £1.112m of these cost related to registered nursing and midwifery.

COVID 19 ANALYSIS (TABLE B3)

At month 1 Table B3 is projecting net expenditure due to COVID-19 to be £118.741m. The COVID year-end forecast position is breakeven following confirmation/assumed funding matching net expenditure. This is summarised in table 3:

Table 3: Summary of Forecast COVID 19 Net Expenditure

	Month 1	Forecast Year-End Position
	£m	£m
COVID 19 Testing	0.243	2.914
COVID 19 Tracing	1.016	15.767
COVID 19 Vaccination	1.580	18.903
Extended Flu vaccination	0.000	1.536
Cleaning Standards	0.155	3.978
PPE	0.379	4.601
Continuing Care and Funded Nursing Care	0.249	0.747
Urgent and Emergency Care	0.157	2.057
COVID 19 Local Response	4.891	58.364
COVID 19 Recovery	0.136	13.660
COVID 19 Non Delivery of Savings Plans	0.000	0.000
COVID 19 Reductions in Planned Expenditure	(0.661)	(3.786)
COVID 19 Release of Planned Investments	0.000	0.000
Net Expenditure Due To COVID 19	8.145	118.741
Welsh Government COVID funding confirmed / assumed	(8.145)	(118.741)
Net COVID 19 Forecast Position (Surplus) / Deficit £m	0.000	0.000

This forecast includes funding assumed from Welsh Government totaling £118.741m to match the forecast costs.

Key Financial Assumptions

- Local response cost allocation of £22.6m to support first 6 months of the financial year based on Cardiff and Vale 13.3% allocation share
- Funding for national programmes on an actual cost basis:
 - Testing costs
 - Mass vaccination programme
 - Transforming access to emergency care
 - Cleaning standards
 - NHS commissioned packages of care
 - PPE
 - Tracing costs (allocated from separate fund)
- Initial COVID recovery allocation of £13.660m
- Additional further COVID response funding has been assumed in line with current forecast costs. This covers an over commitment against the first 6 month allocation and forecast costs for the second half of the financial year.

Overarching Planning assumptions for 2021/22

The first principle of the UHB's approach to planning through the pandemic has been, and continues to be, "COVID ready". This recognises the need to be ahead of the 'COVID-curve' and an appreciation that the uncertainty is such that UHB plans must be dynamic and anticipate the full range of possibilities. As a result the UHB:

- has developed, with its Local Authority partners, a comprehensive surveillance dashboard to closely monitor all aspects of the pandemic which gives us an early warning if the situation is deteriorating
- utilises 'nowcasts' to predict future COVID demand over 4-week time horizons
- has established the concept of 'gearing' to set out our escalation and de-escalation measures as COVID numbers increase and decrease
- has developed internal models to produce longer-term scenario modelling and understand the range of potential trajectories for COVID

To that end the UHB has developed three broad scenarios (shown in table 4), representing the range of plausible circumstances (for COVID 19) over the coming year. It is important to note this is not to predict or project what might happen, but rather to understand the range of demand levels that the UHB may need to be prepared for. The model used for these scenarios has been further

developed to account for the impact that the vaccine roll-out programme is expected to have on reducing susceptibility within the population.

Table 4: Scenario Analysis

Scenario		Gear	Description
1	COVID-19 “best-case”	Lower end of ‘Significant’ or ‘COVID-free’	COVID recedes significantly during quarter one and – as a consequence of the vaccine programme and no new vaccine-resistant variants emerging - doesn’t return to any significant degree
2	COVID-19 “worst-case”	Substantial (during Autumn/Winter)	New variants emerge over the summer which significantly reduce the effectiveness of the vaccines and result in a substantial third wave in the Autumn/Winter of 21/22
3	COVID-19 “central” scenario	Significant (during Autumn/Winter)	COVID reduces through Q1/Q2 but then a smaller third wave occurs during Autumn/Winter as a result of a partial reduction in the effectiveness of the vaccines

COVID Local Response

Full year forecasting remains a challenge given the range of potential COVID trajectories. Whilst COVID prevalence is currently low the organisation needs to remain COVID ready. Key cost drivers within the UHB’s local COVID response include:

- Full year costs of £9.344m relating to the continued use of the independent sector and the extension of the mobile MRI at UHW. Independent sector usage described in our COVID recovery plan is in addition to this.
- Lakeside wing running and staffing costs. 50 beds are currently open.
- Additional staffing costs relating to the continued use of green zones.
- Revised layout and expansion of critical care
- Radiology and therapies 7 day working
- Increased NCSO costs relating to medicines supply restraints
- Additional partnership working with the 3rd Sector
- Ongoing reductions in both private patient and commercial income streams

COVID Recovery

The focus is now increasingly turning to planning recovery of the system that will present a long term challenge. The organisation is now progressing its recovery plans in line with its initial recovery proposals against the WG £100m allocation for 2021/22.

Confirmation of £13.660m non-recurrent funding will support the following proposals:

- Independent sector and insourcing £6.757m
- Waiting list initiatives £1.214m
- Specialty specific schemes £0.610m
- Therapies £0.448m
- Recruitment of key posts £3.381m
- Hire of 2 mobile theatre units £1.250m

Urgent and Emergency Care

The UHB continues to shape its unscheduled care plans around the goals of the national urgent and emergency care framework. Costs included relate to urgent primary care centres and CAV 24/7.

Resuming Non-Covid Activity

Throughout the pandemic the UHB has maintained core essential services. Given the uncertainty brought about by COVID 19 the UHB continues to operate in 4 week planning cycles, with prioritisation of need based upon clinical-stratification rather than time-based stratification

The reductions in non pay costs due to reduced elective capacity is forecast to be £3.786m over the year. This represents activity rising to 70% of pre-COVID levels through Q1, 80% through Q2 and 90% through Q4 supported by COVID recovery plans including continued, and increased use of the independent sector and the commissioning of new modular theatres.

Financial Risks and Uncertainties

The financial forecast sets out the UHBs best assessment of income and costs based upon alignment of capacity, activity, service and finances of the COVID “central” scenario. The key financial risks and uncertainties are:

- Continuation of block contract arrangements;
- The financial forecast has been based upon the UHB COVID “central” scenario, and the actual scale of impact will largely determine the resource requirements linked to workforce availability.

Dependent upon the scale of a third COVID wave, further mitigating actions and identification of financial opportunities may be required to manage these and other risks to support system resilience.

Savings Programme 2021-22 (TABLE C, C1 & C2)

At month 1 the UHB has identified £8.486m of green and amber schemes to deliver against the £16.000m savings target leaving a further £7.514m schemes to identify as outlined in table 5 below:

Table 5: Savings Schemes

	Total Savings Target £m	Total Savings Identified £m	Total Savings (Unidentified) £m
Total £m	16.000	8.486	(7.514)

INCOME/EXPENDITURE ASSUMPTIONS (TABLE D)

The current status of Welsh LTA agreements is as follows:

- Aneurin Bevan – The LTA is agreed and counter signature is being arranged.
- Swansea Bay – The LTA is agreed and counter signature is being arranged.
- Hywel Dda – The LTA is pending final agreement and sign off. No issues are anticipated.
- Powys – The LTA is pending final agreement and sign off. No issues are anticipated.
- Cwm Taf Morgannwg – The LTA is pending final agreement and sign off. No issues are anticipated.
- WHSSC – The LTA is provisionally agreed and both parties are now progressing to final sign off.
- Velindre – An updated LTA document and set of schedules is awaited from Velindre.

INCOME ASSUMPTIONS 2019/20 (TABLE E)

Table E outlines the UHB's 2021/22 resource limit.

Similar to practice in previous years, the UHB forecast continues to exclude £1.028m of recurrent expenditure which has arisen following a change in the accounting treatment of UHB PFI schemes under International Financial Reporting Standards (IFRS). The UHB is assuming that Welsh Government will continue to provide resource cover for this.

AGED WELSH NHS DEBTORS (TABLE M)

At the 30th April 2021, 3 invoices raised by the UHB against other Welsh NHS bodies had been outstanding for more than 17 weeks all of which have now been paid.

OTHER ISSUES

The financial information reported in these monitoring returns aligns to the financial details included within Finance Committee and Board papers. These monitoring returns will be taken to the 26th May 2021 meeting of the Finance Committee for information.

The Deputies who will approve the monthly monitoring submission in the absence of the Director of Finance and the Chief Executive are as follows:

Chris Lewis – Deputy Director of Finance

Stuart Walker – Medical Director and Deputy Chief Executive

CONCLUSION

Welsh Government wrote to the UHB on 11th March 2021 outlining the annual planning arrangements for 2021/22. The UHB submitted a draft financial plan at the end of March 2021 and expects to submit a final plan at the end of quarter 1 in line with the Welsh Government timetable.

The UHB is committed to achieving in year and recurrent financial balance as soon as possible. The UHB currently has a one year draft financial plan for 2021/22 which aims to deliver financial stability and ensure that the underlying position does not deteriorate and delivers a deficit of £21.3m. This includes a savings target of £16.0m.

The reported financial position for the first month is a deficit of £1.929m. This is made up of a budget plan deficit of £1.775m and an adverse variance against plan of £0.154m.



.....
LEN RICHARDS
CHIEF EXECUTIVE

14th May 2021



.....
CATHERINE PHILLIPS
EXECUTIVE DIRECTOR OF
FINANCE

14th May 2021

Table A - Movement of Opening Financial Plan to Forecast Outturn

Period : Apr 21

This Table is currently showing 0 errors

Line 14 should reflect the corresponding amounts included within the latest IMTP/AOP submission to WG
Lines 1 - 14 should not be adjusted after Month 1

	In Year Effect	Non Recurring	Recurring	FYE of Recurring
	£'000	£'000	£'000	£'000
1 Underlying Position b/fwd from Previous Year - must agree to M12 MMR (Deficit - Negative Value)	-25 300	0	-25 300	-25 300
2 Planned New Expenditure (Non Covid-19) (Negative Value)	-33 575	-48	-33 527	-33 527
3 Planned Expenditure For Covid-19 (Negative Value)	-118 741	-118 741		
4 Planned Welsh Government Funding (Non Covid-19) (Positive Value)	16 501	0	16 501	16 501
5 Planned Welsh Government Funding for Covid-19 (Positive Value)	118 741	118 741		
6 Planned Provider Income (Positive Value)	5 075	0	5 075	5 075
7 RRL Profile - phasing only (In Year Effect / Column C must be nil)	0	0	0	0
8 Planned (Finalised) Savings Plan	8 317	4 145	4 172	4 172
9 Planned (Finalised) Net Income Generation	169	7	162	162
10 Planned Profit / (Loss) on Disposal of Assets	0	0	0	0
11 Planned Release of Uncommitted Contingencies & Reserves (Positive Value)	0	0		
12 Covid Expenditure Reductions	0	0		
13 Planning Assumptions still to be finalised at Month 1	7 513	0	7 513	7 617
14 Opening IMTP / Annual Operating Plan	-21 300	4 104	-25 404	-25 300
15 Reversal of Planning Assumptions still to be finalised at Month 1	-7 513	0	-7 513	-7 617
16 Additional In Year & Movement from Planned Release of Previously Committed Contingencies & Reserves (Positive	0	0		
17 Additional In Year & Movement from Planned Profit / (Loss) on Disposal of Assets	0	0		
18 Underachievement of Month 1 Finalised Income Generation Due to Covid-19 (Negative Value)	0	0		
19 Other Movement in Month 1 Planned & In Year Net Income Generation	-7	-7	0	0
20 Underachievement of Month 1 Finalised Savings Due to Covid-19 (Negative Value)	0	0		
21 Other Movement in Month 1 Planned Savings - (Underachievement) / Overachievement	-2 787	-1 603	-1 184	-916
22 Additional In Year Identified Savings - Forecast	0	0	0	0
23 Variance to Planned RRL & Other Income	0	0		
24 Additional In Year & Movement in Planned Welsh Government Funding for Covid-19 (Positive Value - additional)	0	0		
25 Additional In Year & Movement in Planned Welsh Government Funding (Non Covid) (Positive Value - additional)	0	0		
26 Additional In Year & Movement Expenditure for Covid-19 (Positive Value - additional/Negative Value - reduction)	-3 786	-3 786		
27 In Year Expenditure Cost Reduction Due To Covid-19 (Positive Value)	3 786	3 786		
28 In Year Slippage on Investments/Repurposing of Developmental Initiatives Due To Covid-19 (Positive Value)	0	0		
29 In Year Accountancy Gains (Positive Value)	0	0	0	0
30 Net In Year Operational Variance to IMTP/AOP (material gross amounts to be listed separately)	0	0		
31 Actions to mitigate Savings Delivery	10 306	1 773	8 533	8 533
32	0	0		
33	0	0		
34	0	0		
35	0	0		
36	0	0		
37	0	0		
38	0	0		
39	0	0		
40 Forecast Outturn (- Deficit / + Surplus)	-21 300	4 268	-25 568	-25 300

	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	YTD	In Year Effect
	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000
1	-2 108	-2 108	-2 108	-2 108	-2 108	-2 108	-2 108	-2 108	-2 108	-2 108	-2 108	-2 108	-2 108	-25 300
2	-2 704	-2 704	-2 704	-2 704	-2 704	-2 704	-2 704	-2 704	-2 704	-2 704	-2 704	-3 835	-2 704	-33 575
3	-8 145	-9 341	-9 776	-10 790	-9 732	-9 905	-10 629	-10 526	-10 175	-10 149	-9 667	-9 904	-8 145	-118 741
4	1 375	1 375	1 375	1 375	1 375	1 375	1 375	1 375	1 375	1 375	1 375	1 375	1 375	16 501
5	8 145	9 341	9 776	10 790	9 732	9 905	10 629	10 526	10 175	10 149	9 667	9 904	8 145	118 741
6	423	423	423	423	423	423	423	423	423	423	423	423	423	5 075
7	109	130	-126	-148	-149	-150	-167	-160	-167	-167	-70	1 064	109	0
8	491	469	725	747	747	749	765	759	766	766	668	665	491	8 317
9	13	14	14	14	14	14	14	14	14	14	14	14	13	169
10													0	0
11													0	0
12													0	0
13	626	626	626	626	626	626	626	626	626	626	626	626	626	7 513
14	-1 775	-1 775	-1 775	-1 775	-1 775	-1 775	-1 775	-1 775	-1 775	-1 775	-1 775	-1 775	-1 775	-21 300
15	-626	-626	-626	-626	-626	-626	-626	-626	-626	-626	-626	-626	-626	-7 513
16													0	0
17													0	0
18	0	0	0	0	0	0	0	0	0	0	0	0	0	0
19	0	0	0	-1	-1	-1	-1	-1	-1	-1	-1	-1	-1	-7
20	0	0	0	0	0	0	0	0	0	0	0	0	0	0
21	-252	-273	-269	-224	-225	-225	-220	-220	-220	-220	-220	-218	-252	-2 787
22	0	0	0	0	0	0	0	0	0	0	0	0	0	0
23													0	0
24	0	0	0	0	0	0	0	0	0	0	0	0	0	0
25													0	0
26	-661	-444	-456	-345	-445	-345	-174	-174	-224	-174	-174	-174	-661	-3 786
27	661	444	456	345	445	345	174	174	224	174	174	174	661	3 786
28	0	0	0	0	0	0	0	0	0	0	0	0	0	0
29	0	0	0	0	0	0	0	0	0	0	0	0	0	0
30													0	0
31	724	913	909	864	866	866	861	861	861	861	861	859	724	10 306
32													0	0
33													0	0
34													0	0
35													0	0
36													0	0
37													0	0
38													0	0
39													0	0
40	-1 929	-1 761	-1 761	-1 761	-1 761	-1 761	-1 761	-1 761	-1 761	-1 761	-1 761	-1 761	-1 929	-21 300

Bricknell Helen
05/19/2021 16:07:05

Cardiff & Vale ULHB

Period : Apr 21

This Table is currently showing 0 errors

Table B3 - COVID-19 Analysis

A - Additional Expenditure

	1	2	3	4	5	6	7	8	9	10	11	12		
	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Total YTD	Forecast year-end position
	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000
A1	Enter as positive values													
1	Testing (Additional costs due to C19) enter as positive values - actual/forecast													
2	Provider Pay (Establishment, Temp & Agency)													
3	Administrative, Clerical & Board Members	92	92	92	92	92	92	92	92	92	92	92	92	1 108
4	Medical & Dental	0	0	0	0	0	0	0	0	0	0	0	0	0
5	Nursing & Midwifery Registered	102	102	102	102	102	102	102	102	102	102	102	102	1 224
6	Prof Scientific & Technical	0	0	0	0	0	0	0	0	0	0	0	0	0
7	Additional Clinical Services	30	30	30	30	30	30	30	30	30	30	30	30	364
8	Allied Health Professionals	10	10	10	10	10	10	10	10	10	10	10	10	121
9	Healthcare Scientists	0	0	0	0	0	0	0	0	0	0	0	0	0
10	Estates & Ancillary	0	0	0	0	0	0	0	0	0	0	0	0	0
11	Students	0	0	0	0	0	0	0	0	0	0	0	0	0
12	Sub total Testing Provider Pay	235	235	235	235	235	235	235	235	235	235	235	235	2 816
13	Primary Care Contractor (excluding drugs)	0	0	0	0	0	0	0	0	0	0	0	0	0
14	Primary Care - Drugs	0	0	0	0	0	0	0	0	0	0	0	0	0
15	Secondary Care - Drugs	0	0	0	0	0	0	0	0	0	0	0	0	0
16	Provider - Non Pay (Clinical & General Supplies, Rent, Rates, Equipment etc) Exclude PPE - see A7	8	8	8	8	8	8	8	8	8	8	8	8	98
17	Healthcare Services Provided by Other NHS Bodies	0	0	0	0	0	0	0	0	0	0	0	0	0
18	Non Healthcare Services Provided by Other NHS Bodies	0	0	0	0	0	0	0	0	0	0	0	0	0
19	Continuing Care and Funded Nursing Care	0	0	0	0	0	0	0	0	0	0	0	0	0
20	Other Private & Voluntary Sector	0	0	0	0	0	0	0	0	0	0	0	0	0
21	Joint Financing and Other (includes Local Authority)	0	0	0	0	0	0	0	0	0	0	0	0	0
22	Other (only use with WG agreement & state SoCNE/I line ref)	0	0	0	0	0	0	0	0	0	0	0	0	0
23		0	0	0	0	0	0	0	0	0	0	0	0	0
24		0	0	0	0	0	0	0	0	0	0	0	0	0
25		0	0	0	0	0	0	0	0	0	0	0	0	0
26	Sub total Testing Non Pay	8	8	8	8	8	8	8	8	8	8	8	8	98
27	TOTAL TESTING EXPENDITURE	243	243	243	243	243	243	243	243	243	243	243	243	2 914
28	PLANNED TESTING EXPENDITURE (In Opening Plan)	243	243	243	243	243	243	243	243	243	243	243	243	2 914
29	MOVEMENT FROM OPENING PLANNED TESTING EXPENDITURE	0	0	0	0	0	0	0	0	0	0	0	0	0
A2	Tracing (Additional costs due to C19) enter as positive values - actual/forecast													
30	Provider Pay (Establishment, Temp & Agency)													
31	Administrative, Clerical & Board Members	0	0	0	0	0	0	0	0	0	0	0	0	0
32	Medical & Dental	0	0	0	0	0	0	0	0	0	0	0	0	0
33	Nursing & Midwifery Registered	0	0	0	0	0	0	0	0	0	0	0	0	0
34	Prof Scientific & Technical	0	0	0	0	0	0	0	0	0	0	0	0	0
35	Additional Clinical Services	0	0	0	0	0	0	0	0	0	0	0	0	0
36	Allied Health Professionals	0	0	0	0	0	0	0	0	0	0	0	0	0
37	Healthcare Scientists	0	0	0	0	0	0	0	0	0	0	0	0	0
38	Estates & Ancillary	0	0	0	0	0	0	0	0	0	0	0	0	0
39	Students	0	0	0	0	0	0	0	0	0	0	0	0	0
40	Sub total Tracing Provider Pay	0	0	0	0	0	0	0	0	0	0	0	0	0
41	Primary Care Contractor (excluding drugs)	0	0	0	0	0	0	0	0	0	0	0	0	0
42	Primary Care - Drugs	0	0	0	0	0	0	0	0	0	0	0	0	0
43	Secondary Care - Drugs	0	0	0	0	0	0	0	0	0	0	0	0	0
44	Provider - Non Pay (Clinical & General Supplies, Rent, Rates, Equipment etc) Exclude PPE - see A7	0	0	0	0	0	0	0	0	0	0	0	0	0
45	Healthcare Services Provided by Other NHS Bodies	0	0	0	0	0	0	0	0	0	0	0	0	0
46	Non Healthcare Services Provided by Other NHS Bodies	0	0	0	0	0	0	0	0	0	0	0	0	0
47	Continuing Care and Funded Nursing Care	0	0	0	0	0	0	0	0	0	0	0	0	0
48	Other Private & Voluntary Sector	0	0	0	0	0	0	0	0	0	0	0	0	0
49	Joint Financing and Other (includes Local Authority)	1 016	1 341	1 341	1 341	1 341	1 341	1 341	1 341	1 341	1 341	1 341	1 016	15 767
50	Other (only use with WG agreement & state SoCNE/I line ref)	0	0	0	0	0	0	0	0	0	0	0	0	0
51		0	0	0	0	0	0	0	0	0	0	0	0	0
52		0	0	0	0	0	0	0	0	0	0	0	0	0
53		0	0	0	0	0	0	0	0	0	0	0	0	0
54	Sub total Tracing Non Pay	1 016	1 341	1 341	1 341	1 341	1 341	1 341	1 341	1 341	1 341	1 341	1 016	15 767
55	TOTAL TRACING EXPENDITURE	1 016	1 341	1 341	1 341	1 341	1 341	1 341	1 341	1 341	1 341	1 341	1 016	15 767
56	PLANNED TRACING EXPENDITURE (In Opening Plan)	1 016	1 341	1 341	1 341	1 341	1 341	1 341	1 341	1 341	1 341	1 341	1 016	15 767
57	MOVEMENT FROM OPENING PLANNED TRACING EXPENDITURE	0	0	0	0	0	0	0	0	0	0	0	0	0

A3	Mass COVID-19 Vaccination (Additional costs due to C19) enter as positive values - actual/forecast														
58	Provider Pay (Establishment, Temp & Agency)														
59	Administrative, Clerical & Board Members	238	653	736	736	399	399	399	399	399	399	399	399	238	5 559
60	Medical & Dental	11	0	0	0	0	0	0	0	0	0	0	0	11	11
61	Nursing & Midwifery Registered	213	369	369	369	199	199	199	199	199	199	199	199	213	2 911
62	Prof Scientific & Technical	9	64	64	64	32	32	32	32	32	32	32	32	9	460
63	Additional Clinical Services	242	653	653	653	332	332	332	332	332	332	332	332	242	4 859
64	Allied Health Professionals	0	0	0	0	0	0	0	0	0	0	0	0	0	0
65	Healthcare Scientists	7	0	0	0	0	0	0	0	0	0	0	0	7	7
66	Estates & Ancillary	42	74	74	74	52	52	52	52	52	52	52	52	42	682
67	Students	0	0	0	0	0	0	0	0	0	0	0	0	0	0
68	Sub total Mass COVID-19 Vaccination Provider Pay	763	1 812	1 896	1 896	1 015	1 015	1 015	1 015	1 015	1 015	1 015	1 015	763	14 489
69	Primary Care Contractor (excluding drugs)	391	165	60	0	0	0	0	0	0	0	0	0	391	616
70	Primary Care - Drugs	0	0	0	0	0	0	0	0	0	0	0	0	0	0
71	Secondary Care - Drugs	0	0	0	0	0	0	0	0	0	0	0	0	0	0
72	Provider - Non Pay (Clinical & General Supplies, Rent, Rates, Equipment etc) Exclude PPE - see A7	120	134	129	130	79	72	73	72	72	73	72	372	120	1 397
73	Healthcare Services Provided by Other NHS Bodies	0	0	0	0	0	0	0	0	0	0	0	0	0	0
74	Non Healthcare Services Provided by Other NHS Bodies	0	0	0	0	0	0	0	0	0	0	0	0	0	0
75	Continuing Care and Funded Nursing Care	0	0	0	0	0	0	0	0	0	0	0	0	0	0
76	Other Private & Voluntary Sector	306	241	241	241	171	171	171	171	171	171	171	171	306	2 401
77	Joint Financing and Other (includes Local Authority)	0	0	0	0	0	0	0	0	0	0	0	0	0	0
78	Other (only use with WG agreement & state SoCNE/I line ref)	0	0	0	0	0	0	0	0	0	0	0	0	0	0
79		0	0	0	0	0	0	0	0	0	0	0	0	0	0
80		0	0	0	0	0	0	0	0	0	0	0	0	0	0
81		0	0	0	0	0	0	0	0	0	0	0	0	0	0
82	Sub total Mass COVID-19 Vaccination Non Pay	817	541	430	372	251	243	244	243	243	244	243	543	817	4 414
83	TOTAL MASS COVID-19 VACC EXPENDITURE	1 580	2 353	2 326	2 267	1 266	1 258	1 260	1 258	1 258	1 260	1 258	1 558	1 580	18 903
84	PLANNED MASS COVID-19 VACC EXPENDITURE (In Opening Plan)	1 580	2 353	2 326	2 267	1 266	1 258	1 260	1 258	1 258	1 260	1 258	1 558	1 580	18 903
85	MOVEMENT FROM OPENING PLANNED MASS COVID-19 VACC EXPENDITURE	0	0	0	0	0	0	0	0	0	0	0	0	0	0
A4	Extended Flu Vaccination (Additional costs due to C19) enter as positive values - actual/forecast														
86	Provider Pay (Establishment, Temp & Agency)														
87	Administrative, Clerical & Board Members	0	0	0	0	0	0	0	0	0	0	0	0	0	0
88	Medical & Dental	0	0	0	0	0	0	0	0	0	0	0	0	0	0
89	Nursing & Midwifery Registered	0	0	0	0	0	0	0	0	0	0	0	0	0	0
90	Prof Scientific & Technical	0	0	0	0	0	0	0	0	0	0	0	0	0	0
91	Additional Clinical Services	0	0	0	0	0	0	0	0	0	0	0	0	0	0
92	Allied Health Professionals	0	0	0	0	0	0	0	0	0	0	0	0	0	0
93	Healthcare Scientists	0	0	0	0	0	0	0	0	0	0	0	0	0	0
94	Estates & Ancillary	0	0	0	0	0	0	0	0	0	0	0	0	0	0
95	Students	0	0	0	0	0	0	0	0	0	0	0	0	0	0
96	Sub total Extended Flu Vaccination Provider Pay	0	0	0	0	0	0	0	0	0	0	0	0	0	0
97	Primary Care Contractor (excluding drugs)	0	0	0	0	0	91	583	496	165	98	84	21	0	1 536
98	Primary Care - Drugs	0	0	0	0	0	0	0	0	0	0	0	0	0	0
99	Secondary Care - Drugs	0	0	0	0	0	0	0	0	0	0	0	0	0	0
100	Provider - Non Pay (Clinical & General Supplies, Rent, Rates, Equipment etc) Exclude PPE - see A7	0	0	0	0	0	0	0	0	0	0	0	0	0	0
101	Healthcare Services Provided by Other NHS Bodies	0	0	0	0	0	0	0	0	0	0	0	0	0	0
102	Non Healthcare Services Provided by Other NHS Bodies	0	0	0	0	0	0	0	0	0	0	0	0	0	0
103	Continuing Care and Funded Nursing Care	0	0	0	0	0	0	0	0	0	0	0	0	0	0
104	Other Private & Voluntary Sector	0	0	0	0	0	0	0	0	0	0	0	0	0	0
105	Joint Financing and Other (includes Local Authority)	0	0	0	0	0	0	0	0	0	0	0	0	0	0
106	Other (only use with WG agreement & state SoCNE/I line ref)	0	0	0	0	0	0	0	0	0	0	0	0	0	0
107		0	0	0	0	0	0	0	0	0	0	0	0	0	0
108		0	0	0	0	0	0	0	0	0	0	0	0	0	0
109		0	0	0	0	0	0	0	0	0	0	0	0	0	0
110	Sub total Extended Flu Vaccination Non Pay	0	0	0	0	0	91	583	496	165	98	84	21	0	1 536
111	TOTAL EXTENDED FLU VACC EXPENDITURE	0	0	0	0	0	91	583	496	165	98	84	21	0	1 536
112	PLANNED EXTENDED FLU VACC EXPENDITURE (In Opening Plan)	0	0	0	0	0	91	583	496	165	98	84	21	0	1 536
113	MOVEMENT FROM OPENING PLANNED EXTENDED FLU VACC EXPENDITURE	0	0	0	0	0	0	0	0	0	0	0	0	0	0
A5	Field Hospital / Surge (Additional costs due to C19) enter as positive value - actual/forecast														
114	Provider Pay (Establishment, Temp & Agency)														
115	Administrative, Clerical & Board Members	0	0	0	0	0	0	0	0	0	0	0	0	0	0
116	Medical & Dental	0	0	0	0	0	0	0	0	0	0	0	0	0	0
117	Nursing & Midwifery Registered	0	0	0	0	0	0	0	0	0	0	0	0	0	0
118	Prof Scientific & Technical	0	0	0	0	0	0	0	0	0	0	0	0	0	0
119	Additional Clinical Services	0	0	0	0	0	0	0	0	0	0	0	0	0	0
120	Allied Health Professionals	0	0	0	0	0	0	0	0	0	0	0	0	0	0
121	Healthcare Scientists	0	0	0	0	0	0	0	0	0	0	0	0	0	0
122	Estates & Ancillary	0	0	0	0	0	0	0	0	0	0	0	0	0	0
123	Students	0	0	0	0	0	0	0	0	0	0	0	0	0	0
124	Sub total Field Hospital / Surge Provider Pay	0	0	0	0	0	0	0	0	0	0	0	0	0	0
125	Primary Care Contractor (excluding drugs)	0	0	0	0	0	0	0	0	0	0	0	0	0	0
126	Primary Care - Drugs	0	0	0	0	0	0	0	0	0	0	0	0	0	0
127	Secondary Care - Drugs	0	0	0	0	0	0	0	0	0	0	0	0	0	0
128	Provider - Non Pay (Clinical & General Supplies, Rent, Rates, Equipment etc) Exclude PPE - see A7	0	0	0	0	0	0	0	0	0	0	0	0	0	0
129	Provider - Non Pay (Decommissioning Costs)	0	0	0	0	0	0	0	0	0	0	0	0	0	0
130	Healthcare Services Provided by Other NHS Bodies	0	0	0	0	0	0	0	0	0	0	0	0	0	0
131	Non Healthcare Services Provided by Other NHS Bodies	0	0	0	0	0	0	0	0	0	0	0	0	0	0
132	Continuing Care and Funded Nursing Care	0	0	0	0	0	0	0	0	0	0	0	0	0	0
133	Other Private & Voluntary Sector	0	0	0	0	0	0	0	0	0	0	0	0	0	0

134	Joint Financing and Other (includes Local Authority)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
135	Joint Financing and Other - (Compensation for Consequential Losses)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
136	Other (only use with WG agreement & state SoCNE/I line ref)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
137		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
138		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
139		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
140	Sub total Field Hospital / Surge Non Pay	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
141	TOTAL FIELD HOSPITAL / SURGE EXPENDITURE	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
142	PLANNED FIELD HOSPITAL / SURGE EXPENDITURE (In Opening Plan)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
143	MOVEMENT FROM OPENING PLANNED FIELD HOSPITAL / SURGE EXPENDITURE	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
A6	Cleaning Standards (Additional costs due to C19) enter as positive value - actual/forecast																
144	Provider Pay (Establishment, Temp & Agency)																
145	Administrative, Clerical & Board Members	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
146	Medical & Dental	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
147	Nursing & Midwifery Registered	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
148	Prof Scientific & Technical	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
149	Additional Clinical Services	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
150	Allied Health Professionals	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
151	Healthcare Scientists	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
152	Estates & Ancillary	155	223	309	321	366	372	372	372	372	372	372	372	372	372	155	3 978
153	Students	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
154	Sub total Cleaning Standards Provider Pay	155	223	309	321	366	372	372	372	372	372	372	372	372	372	155	3 978
155	Primary Care Contractor (excluding drugs)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
156	Primary Care - Drugs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
157	Secondary Care - Drugs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
158	Provider - Non Pay (Clinical & General Supplies, Rent, Rates, Equipment etc) Exclude PPE - see A7	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
159	Healthcare Services Provided by Other NHS Bodies	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
160	Non Healthcare Services Provided by Other NHS Bodies	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
161	Continuing Care and Funded Nursing Care	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
162	Other Private & Voluntary Sector	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
163	Joint Financing and Other (includes Local Authority)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
164	Other (only use with WG agreement & state SoCNE/I line ref)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
165		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
166		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
167		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
168	Sub total Cleaning Standards Non Pay	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
169	TOTAL CLEANING STANDARDS EXPENDITURE	155	223	309	321	366	372	372	372	372	372	372	372	372	372	155	3 978
170	PLANNED CLEANING STANDARDS EXPENDITURE (In Opening Plan)	155	223	309	321	366	372	372	372	372	372	372	372	372	372	155	3 978
171	MOVEMENT FROM OPENING PLANNED CLEANING STANDARDS EXPENDITURE	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

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A7	Other (Additional costs due to C19) enter as positive value - actual/forecast														
172	Provider Pay (Establishment, Temp & Agency)														
173	Administrative, Clerical & Board Members	137	182	160	160	160	160	146	146	146	146	146	146	137	1 835
174	Medical & Dental	790	919	943	955	960	956	1 003	997	997	997	1 014	1 014	790	11 548
175	Nursing & Midwifery Registered	1 183	917	889	1 270	1 267	1 263	1 268	1 259	1 259	1 259	1 259	1 259	1 183	14 353
176	Prof Scientific & Technical	52	39	39	39	48	48	48	48	48	48	48	48	52	557
177	Additional Clinical Services	524	486	470	470	470	478	479	479	487	487	487	487	524	5 807
178	Allied Health Professionals	222	196	174	225	225	238	238	238	250	250	250	250	222	2 753
179	Healthcare Scientists	1	1	1	1	1	1	1	1	1	1	1	1	1	10
180	Estates & Ancillary	205	112	112	112	112	112	112	112	112	112	112	112	205	1 438
181	Students	0	0	0	0	0	0	0	0	0	0	0	0	0	0
182	Other (only use with WG Agreement & state SoCNE/I line ref)	0	0	0	0	0	0	0	0	0	0	0	0	0	0
183		0	0	0	0	0	0	0	0	0	0	0	0	0	0
184		0	0	0	0	0	0	0	0	0	0	0	0	0	0
185		0	0	0	0	0	0	0	0	0	0	0	0	0	0
186	Sub total Other C-19 Provider Pay	3 113	2 852	2 788	3 233	3 243	3 257	3 296	3 281	3 301	3 301	3 318	3 318	3 113	38 300
187	Primary Care Contractor (excluding drugs)	315	216	191	167	152	122	122	122	132	122	122	122	315	1 907
188	Primary Care Contractor (excluding drugs) - Costs as a result of lost GDS Income	0	0	0	0	0	0	0	0	0	0	0	0	0	0
189	Primary Care - Drugs	337	380	380	380	380	380	380	380	380	380	380	380	337	4 520
190	Secondary Care - Drugs	57	52	51	51	51	51	51	51	51	51	51	51	57	616
191	Provider - Non Pay (Clinical & General Supplies, Rent, Rates, Equipment etc) Exclude PPE - see separate line	462	532	528	686	689	689	784	784	784	784	784	785	462	8 293
192	Provider - Non Pay - PPE	379	384	384	384	384	384	384	384	384	384	384	384	379	4 601
193	Healthcare Services Provided by Other NHS Bodies	111	117	72	72	72	72	72	72	72	72	72	72	111	953
194	Healthcare Services Provided by Other NHS Bodies - Additional Costs due to Block Contracts - Wales NHS	0	25	25	25	25	25	25	25	25	25	25	25	0	275
195	Healthcare Services Provided by Other NHS Bodies - Additional Costs due to Block Contracts - England NHS	0	0	0	0	0	0	0	0	0	0	0	0	0	0
196	Non Healthcare Services Provided by Other NHS Bodies	0	0	0	0	0	0	0	0	0	0	0	0	0	0
197	Continuing Care and Funded Nursing Care	249	249	249	0	0	0	0	0	0	0	0	0	249	747
198	Other Private & Voluntary Sector	569	588	803	1 017	1 017	1 017	943	943	943	943	458	458	569	9 701
199	Other Private & Voluntary Sector - Private Hospital Providers	200	200	512	916	916	916	916	916	916	916	916	916	200	9 157
200	Joint Financing and Other (includes Local Authority)	0	0	0	0	0	0	0	0	0	0	0	0	0	0
201	Other (only use with WG Agreement & state SoCNE/I line ref)	0	0	0	0	0	0	0	0	0	0	0	0	0	0
202	Loss of Private Patient Income	19	31	31	31	31	31	31	31	31	31	31	31	19	360
203		0	0	0	0	0	0	0	0	0	0	0	0	0	0
204		0	0	0	0	0	0	0	0	0	0	0	0	0	0
205		0	0	0	0	0	0	0	0	0	0	0	0	0	0
206	Sub total Other C-19 Non Pay	2 699	2 774	3 226	3 729	3 718	3 688	3 709	3 709	3 719	3 709	3 224	3 225	2 699	41 129
207	TOTAL OTHER C-19 EXPENDITURE	5 812	5 626	6 013	6 962	6 961	6 945	7 005	6 990	7 020	7 010	6 542	6 543	5 812	79 429
208	PLANNED OTHER C-19 EXPENDITURE (In Opening Plan)	5 151	5 182	5 557	6 618	6 516	6 600	6 831	6 816	6 796	6 836	6 368	6 369	5 151	75 643
209	MOVEMENT FROM OPENING PLANNED OTHER C-19 EXPENDITURE	(661)	(444)	(456)	(345)	(445)	(345)	(174)	(174)	(224)	(174)	(174)	(174)	(661)	(3 786)
210	TOTAL ADDITIONAL EXPENDITURE DUE TO COVID	8 806	9 785	10 232	11 134	10 177	10 250	10 803	10 700	10 399	10 323	9 840	10 078	8 806	122 527
211	PLANNED ADDITIONAL EXPENDITURE DUE TO COVID (In Opening Plan)	8 145	9 341	9 776	10 790	9 732	9 905	10 629	10 526	10 175	10 149	9 667	9 904	8 145	118 741
212	MOVEMENT FROM OPENING PLANNED ADDITIONAL COVID EXPENDITURE	(661)	(444)	(456)	(345)	(445)	(345)	(174)	(174)	(224)	(174)	(174)	(174)	(661)	(3 786)

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B - In Year Non Delivery of Savings / Net Income Generation Schemes Due To C19

	1	2	3	4	5	6	7	8	9	10	11	12		
	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Total YTD	Forecast year-end position
	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000
	<i>Enter as Positive values</i>													
213	Non Delivery of Savings (due to C19) - Actual/Forecast													
214	Non Delivery of Finalised (M1) Savings													
215	Non finalisation of Planning Assumptions (savings) at M1													
216	Non Delivery of Finalised (M1) Net Income Generation Schemes - Actual/Forecast													
217	TOTAL NON DELIVERY OF SAVINGS/NET INCOME GENERATION DUE TO COVID													

C - In Year Operational Expenditure Cost Reduction Due To C19

	1	2	3	4	5	6	7	8	9	10	11	12		
	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Total YTD	Forecast year-end position
	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000
	<i>Enter as Negative values</i>													
218	Expenditure Reductions (due to C19) - Actual/Forecast													
219	Reduction of non pay costs due to reduced elective activity													
220	Reduction of outsourcing costs due to reduced planned activity													
221	WHSSC C-19 Slippage (as advised by WHSSC)													
222	Other (please specify): Bed closures due to social distancing													
223	Cross-border Non-Contracted Activity (NCA)													
224														
225														
226														
227														
228	TOTAL EXPENDITURE REDUCTION													

D - In Year Slippage on Planned Investments/Repurposing of Developmental Initiatives due to C19

	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	1	2	3	4	5	6	7	8	9	10	11	12		
	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Total YTD	Forecast year-end position
	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000
	<i>Enter as Negative values</i>													
229	Slippage on Planned Investments/Repurposing of Developmental Initiatives (due to C19) - Actual/Forecast													
230														
231														
232														
233														
234														
235														
236														
237														
238														
239	TOTAL RELEASE/REPURPOSING OF PLANNED INVESTMENTS/DEVELOPMENT INITIATIVES													
240	ACTUAL / FORECAST - EXPENDITURE IMPACT DUE TO COVID-19													

E - Additional Welsh Government Funding for C19

	1	2	3	4	5	6	7	8	9	10	11	12		
	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Total YTD	Forecast year-end position
	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000
	<i>Enter as Positive values</i>													
241	PLANNED WG FUNDING FOR COVID-19													
242	MOVEMENTS FROM OPENING PLANNED WG FUNDING FOR COVID-19													
243	TOTAL ACTUAL / FORECAST WG FUNDING FOR COVID-19													
244	ACTUAL / FORECAST NET IMPACT ON OVERALL FINANCIAL POSITION DUE TO COVID-19													

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Table C - Identified Expenditure Savings Schemes (Excludes Income Generation & Accountancy Gains)

This Table is currently showing 0 errors

			1	2	3	4	5	6	7	8	9	10	11	12	Total YTD	Full-year forecast	YTD as %age of FY	Assessment		Full In-Year forecast		Full-Year Effect of Recurring Savings
			Apr £'000	May £'000	Jun £'000	Jul £'000	Aug £'000	Sep £'000	Oct £'000	Nov £'000	Dec £'000	Jan £'000	Feb £'000	Mar £'000			YTD variance as %age of YTD	Green £'000	Amber £'000	non recurring £'000	recurring £'000	£'000
1	CHC and Funded Nursing Care	Budget/Plan	8	8	8	8	8	8	8	8	8	8	8	8	8	100		100	0			
2		Actual/F'cast	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0	0	0	0	0
3		Variance	(8)	(8)	(8)	(8)	(8)	(8)	(8)	(8)	(8)	(8)	(8)	(8)	(8)	(100)	(100.00%)	(100)	0			
4	Commissioned Services	Budget/Plan	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0	0			
5		Actual/F'cast	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0	0	0	0	0
6		Variance	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0	0			
7	Medicines Management (Primary & Secondary Care)	Budget/Plan	14	14	15	15	15	15	30	30	30	30	30	30	14	273		172	101			
8		Actual/F'cast	13	13	13	13	13	13	28	28	28	28	28	28	13	250	5.33%	160	90	40	210	210
9		Variance	(1)	(1)	(2)	(2)	(2)	(2)	(2)	(2)	(2)	(2)	(2)	(2)	(1)	(23)	(6.98%)	(12)	(11)			
10	Non Pay	Budget/Plan	313	293	557	620	621	622	630	623	630	631	533	530	313	6 603		2 956	3 647			
11		Actual/F'cast	174	134	396	462	462	463	475	468	476	476	379	378	174	4 742	3.66%	1 680	3 062	2 255	2 487	2 755
12		Variance	(140)	(159)	(162)	(158)	(159)	(159)	(155)	(155)	(155)	(155)	(152)	(140)	(1 861)	(44.55%)	(1 276)	(585)				
13	Pay	Budget/Plan	155	154	144	103	103	103	97	97	97	96	96	96	155	1 341		1 250	91			
14		Actual/F'cast	51	49	48	48	48	48	41	41	41	41	41	41	51	538	9.52%	483	56	247	291	291
15		Variance	(103)	(104)	(96)	(55)	(55)	(55)	(55)	(55)	(55)	(55)	(55)	(55)	(103)	(803)	(66.88%)	(768)	(35)			
16	Primary Care	Budget/Plan	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0	0			
17		Actual/F'cast	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0	0	0	0	0
18		Variance	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0	0			
19	Total	Budget/Plan	491	469	725	747	747	749	765	759	766	766	668	665	491	8 317		4 479	3 838			
20		Actual/F'cast	238	196	457	523	523	524	545	538	545	545	448	448	238	5 530	4.31%	2 323	3 207	2 542	2 988	3 256
21		Variance	(252)	(273)	(269)	(224)	(225)	(225)	(220)	(220)	(220)	(220)	(220)	(218)	(252)	(2 787)	(51.43%)	(2 156)	(631)			
22	Variance in month		(51.43%)	(58.13%)	(37.04%)	(29.94%)	(30.08%)	(30.03%)	(28.81%)	(29.06%)	(28.78%)	(28.79%)	(32.97%)	(32.74%)	(51.43%)							
23	In month achievement against FY forecast		4.31%	3.55%	8.26%	9.46%	9.45%	9.47%	9.85%	9.73%	9.86%	9.86%	8.10%	8.09%								

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Table C1- Savings Schemes Pay Analysis

Month		1	2	3	4	5	6	7	8	9	10	11	12	Total YTD	Full-year forecast	YTD as %age of FY YTD variance as %age of YTD Budget/Plan	Assessment		Full In-Year forecast		Full-Year Effect of Recurring Savings £'000
		Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar				Green	Amber	non recurring	recurring	
		£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000				£'000	£'000	£'000	£'000	
1	Changes in Staffing	Budget/Plan	107	106	98	57	57	57	57	57	57	57	57	107	824		753	71			
2	Establishment	Actual/F'cast	11	11	11	11	11	11	11	11	11	11	11	11	134	8.33%	79	56	23	111	111
3		Variance	(96)	(95)	(87)	(46)	(46)	(46)	(46)	(46)	(46)	(46)	(46)	(96)	(689)	(89.57%)	(674)	(15)			
4	Variable Pay	Budget/Plan	29	29	29	29	29	29	29	29	29	29	29	29	348		348	0			
5		Actual/F'cast	29	29	29	29	29	29	29	29	29	29	29	29	348	8.21%	348	0	168	180	180
6		Variance	0	0	0	0	0	0	0	0	0	0	0	0	0	0.00%	0	0			
7	Locum	Budget/Plan	0	0	0	0	0	0	0	0	0	0	0	0	0		0	0			
8		Actual/F'cast	0	0	0	0	0	0	0	0	0	0	0	0	0		0	0	0	0	0
9		Variance	0	0	0	0	0	0	0	0	0	0	0	0	0		0	0			
10	Agency / Locum paid at a premium	Budget/Plan	0	0	0	0	0	0	0	0	0	0	0	0	0		0	0			
11		Actual/F'cast	0	0	0	0	0	0	0	0	0	0	0	0	0		0	0	0	0	0
12		Variance	0	0	0	0	0	0	0	0	0	0	0	0	0		0	0			
13	Changes in Bank Staff	Budget/Plan	0	0	0	0	0	0	0	0	0	0	0	0	0		0	0			
14		Actual/F'cast	0	0	0	0	0	0	0	0	0	0	0	0	0		0	0	0	0	0
15		Variance	0	0	0	0	0	0	0	0	0	0	0	0	0		0	0			
16	Other (Please Specify)	Budget/Plan	19	19	17	18	18	10	10	10	10	10	10	19	169		149	20			
17		Actual/F'cast	11	9	8	8	8	1	1	1	0	0	0	11	56	20.49%	56	0	56	0	0
18		Variance	(7)	(9)	(9)	(10)	(10)	(10)	(10)	(10)	(10)	(10)	(10)	(7)	(113)	(39.32%)	(93)	(20)			
19	Total	Budget/Plan	155	154	144	103	103	103	97	97	96	96	96	155	1 341		1 250	91			
20		Actual/F'cast	51	49	48	48	48	41	41	41	41	41	41	51	538	9.52%	483	56	247	291	291
21		Variance	(103)	(104)	(96)	(55)	(55)	(55)	(55)	(55)	(55)	(55)	(55)	(103)	(803)	(66.88%)	(768)	(35)			

Table C2- Savings Schemes Agency/Locum Paid at a Premium Analysis

Month		1	2	3	4	5	6	7	8	9	10	11	12	Total YTD	Full-year forecast	YTD as %age of FY YTD variance as %age of YTD Budget/Plan	Assessment		Full In-Year forecast		Full-Year Effect of Recurring Savings £'000
		Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar				Green	Amber	non recurring	recurring	
		£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000				£'000	£'000	£'000	£'000	
1	Reduced usage of	Budget/Plan	0	0	0	0	0	0	0	0	0	0	0	0	0		0	0			
2	Agency/Locums paid at a premium	Actual/F'cast	0	0	0	0	0	0	0	0	0	0	0	0	0		0	0	0	0	0
3		Variance	0	0	0	0	0	0	0	0	0	0	0	0	0		0	0			
4	Non Medical 'off contract' to 'on contract'	Budget/Plan	0	0	0	0	0	0	0	0	0	0	0	0	0		0	0			
5		Actual/F'cast	0	0	0	0	0	0	0	0	0	0	0	0	0		0	0	0	0	0
6		Variance	0	0	0	0	0	0	0	0	0	0	0	0	0		0	0			
7	Medical - Impact of Agency pay rate caps	Budget/Plan	0	0	0	0	0	0	0	0	0	0	0	0	0		0	0			
8		Actual/F'cast	0	0	0	0	0	0	0	0	0	0	0	0	0		0	0	0	0	0
9		Variance	0	0	0	0	0	0	0	0	0	0	0	0	0		0	0			
10	Other (Please Specify)	Budget/Plan	0	0	0	0	0	0	0	0	0	0	0	0	0		0	0			
11		Actual/F'cast	0	0	0	0	0	0	0	0	0	0	0	0	0		0	0	0	0	0
12		Variance	0	0	0	0	0	0	0	0	0	0	0	0	0		0	0			
13	Total	Budget/Plan	0	0	0	0	0	0	0	0	0	0	0	0	0		0	0			
14		Actual/F'cast	0	0	0	0	0	0	0	0	0	0	0	0	0		0	0	0	0	0
15		Variance	0	0	0	0	0	0	0	0	0	0	0	0	0		0	0			

Apr 21

Summary of Financial Month 1 & Year (2024) - Green & Blue	Gain Relating Netting Profit	Gain Relating Netting Loss	Cost Amount	Savings Total	Income Deduction	Amortization 1/2 Rate
Planned Case	0	0	0	83	0	0
Unscheduled Case	0	0	0	0	0	0
Provision Community Case (Risk/Performance)	0	0	0	0	0	0
Member Health	12	0	0	0	0	0
Client Support	43	0	43	43	10	0
New Client Support (Profit/Loss/Revenue/Expense)	0	7,122	0	7,122	0	0
Consulting	0	0	0	0	0	0
Access Member Areas	0	2,000	0	2,000	100	0
COO	0	0	0	0	0	0
Personneling	0	0	0	0	0	0
Marketing Management (Secondary Cases)	0	0	0	100	0	0
Total	68	4,972	43	2,203	182	0

[illegible]

Bricknell, Helen
05/19/2021 16:07:05

This table needs completing monthly from Month: 3
This Table is currently showing 0 errors

Table F - Statement of Financial Position For Monthly Period

	Opening Balance Beginning of Apr 21 £'000	Closing Balance End of Apr 21 £'000	Forecast Closing Balance End of Mar 22 £'000
Non-Current Assets			
1 Property, plant and equipment			
2 Intangible assets			
3 Trade and other receivables			
4 Other financial assets			
5 Non-Current Assets sub total	0	0	0
Current Assets			
6 Inventories			
7 Trade and other receivables			
8 Other financial assets			
9 Cash and cash equivalents			
10 Non-current assets classified as held for sale			
11 Current Assets sub total	0	0	0
12 TOTAL ASSETS	0	0	0
Current Liabilities			
13 Trade and other payables			
14 Borrowings (Trust Only)			
15 Other financial liabilities			
16 Provisions			
17 Current Liabilities sub total	0	0	0
18 NET ASSETS LESS CURRENT LIABILITIES	0	0	0
Non-Current Liabilities			
19 Trade and other payables			
20 Borrowings (Trust Only)			
21 Other financial liabilities			
22 Provisions			
23 Non-Current Liabilities sub total	0	0	0
24 TOTAL ASSETS EMPLOYED	0	0	0
FINANCED BY: Taxpayers' Equity			
25 General Fund			
26 Revaluation Reserve			
27 PDC (Trust only)			
28 Retained earnings (Trust Only)			
29 Other reserve			
30 Total Taxpayers' Equity	0	0	0

	Opening Balance Beginning of Apr 21	Closing Balance End of Apr 21	Closing Balance End of Mar 22
EXPLANATION OF ALL PROVISIONS			
31			
32			
33			
34			
35			
36			
37			
38			
39			
40 Total Provisions	0	0	0

ANALYSIS OF WELSH NHS RECEIVABLES (current month)

	£'000
41 Welsh NHS Receivables Aged 0 - 10 weeks	0
42 Welsh NHS Receivables Aged 11 - 16 weeks	0
43 Welsh NHS Receivables Aged 17 weeks and over	0

ANALYSIS OF TRADE & OTHER PAYABLES (opening, current & closing)

	£'000	£'000	£'000
44 Capital	0	0	0
45 Revenue	0	0	0

ANALYSIS OF CASH (opening, current & closing)

	£'000	£'000	£'000
46 Capital	0	0	0
47 Revenue	0	0	0